Case 1:08-cv-07104-DC Document 1013 Filed 07/18/14 Page 1 of 1 hun. 9

Case 1:08-cv-07104-DC Document 1011 Filed 07/15/14 Page 1 of 1

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK MEMO ENDORSED

SECURITIES AND EXCHANGE COMMISSION

Plaintiff,

- against -

: 08 Civ. 07104 (DC)

: ECF CASE

STEVEN BYERS, et al.,

Defendants.

NOTICE OF DISMISSAL OF CLAIMS FOR DISGORGEMENT AND CIVIL MONEY PENALTY AGAINST STEVEN BYERS

Pursuant to Rule 41(a)(1) of the Federal Rules of Civil Procedure, Plaintiff Securities and Exchange Commission voluntarily dismisses its claims for disgorgement, prejudgment interest, and a civil money penalty against defendant Steven Byers in view of the criminal sanctions imposed against him in the parallel criminal case, *United States v Byers*, 08-Cr. 1092 (DC) (S.D.N.Y.).

Dated: New York, New York July 15, 2014

SO ORDERED:

U.S.D.J.

7-17-2014

/s/ Neal Jacobson

By: Neal Jacobson Alistaire Bambach Securities and Exchange Commission New York Regional Office

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