UNITED STATES DISTRICT COURT WESTERN DISTRICT OF NEW YORK
SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

THE HOCKEY BARN LLC and JEFFREY J. COLEMAN,

Defendants.



07 CV 0438 (JTC)

## [PROPOSED] FINAL JUDGMENT BY DEFAULT AS TO DEFENDANTS THE HOCKEY BARN LLC AND JEFFREY J. COLEMAN

The Securities and Exchange Commission having filed a Complaint and defendants The Hockey Barn LLC and Jeffrey J. Coleman ("Defendants") having defaulted in defending this action, the Clerk having entered the default pursuant to Rule 55(a) of the Federal Rules of Civil Procedure and the Local Civil Rules, and the Commission having moved pursuant to Rule 55(b) of the Federal Rules of Civil Procedure and the Local Civil Rules for the entry of Default Judgment as to Defendants, and it appearing to the Court that such motion should be granted:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Plaintiff's Motion for Default Judgment as to Defendants is GRANTED.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants and Defendants' agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or

otherwise are permanently restrained and enjoined from violating Section 17(a) of the Securities Act of 1933 (the "Securities Act") [15 U.S.C. § 77q(a)], in the offer or sale of any security by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails, directly or indirectly:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to obtain money or property by means of any untrue statement of a material fact or any omission of a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or
- (c) to engage in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser.

## III.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants and Defendants' agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)], and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

(a) to employ any device, scheme, or artifice to defraud;

- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

## IV.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants are liable, on a joint and several basis, for disgorgement of \$388,500, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$69,566.32. Defendants shall satisfy this obligation by paying \$458,066.32 within fourteen (14) days after entry of this Final Judgment by certified check, bank cashier's check, or United States postal money order payable to the Clerk, U.S. District Court The payment shall be delivered or mailed to United States District Court, Attn: Financial Department, 304 U.S. Courthouse, 68 Court Street, Buffalo, New York 14202-3498 and shall be accompanied by a letter identifying The Hockey Barn LLC and Jeffrey J. Coleman as defendants in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. A copy of the letter and the instrument making payment will also be sent to Amelia A. Cottrell, Assistant Regional Director, U.S. Securities and Exchange Commission, 3 World Financial Center, Room 400, New York, New York 10281. Defendants shall pay post-judgment interest on any delinquent amounts pursuant to 28 USC § 1961. Any payment that Jeffrey J. Coleman makes to victims in satisfaction, in whole or in part, of the restitution obligations imposed on him in the criminal action, United States v. Coleman, 09-cr-158 (RJA) (E.D.N.Y.), shall reduce by that amount

Defendants' obligations to make the disgorgement payment required by this judgment.

V.

IT IS FURTHER ADJUDGED, ORDERED AND DECREED that Defendant The Hockey Barn LLC shall pay a civil penalty in the amount of \$ 65,000.00 , and that Defendant Jeffrey J. Coleman shall pay a civil penalty in the amount of \$ 6,500.00 to Section 20(d) of the Securities Act, 15 U.S.C. § 77t(d), and Section 21(d)(3) of the Exchange Act, 15 U.S.C. § 78u(d)(3). Defendants shall make this payment within fourteen (14) days after entry of this Final Judgment by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission. The payments shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by letters identifying The Hockey Barn LLC and Jeffrey J. Coleman as defendants in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. A copy of the letter and the instrument making payment will also be sent to Amelia A. Cottrell, Assistant Regional Director, U.S. Securities and Exchange Commission, 3 World Financial Center, Room 400, New York, New York 10281. Defendants shall pay post-judgment interest on any delinquent amounts pursuant to 28 USC § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

VI.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

Dated: 11/3, 2010

UNITED STATES DISTRICT JUDGE