

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA
CASE NO. 06-61251-CIV-SEITZ/SIMONTON

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

DAWN M. SCHLEGEL, and
SANDRA L. HATFIELD,

Defendants.

**FINAL JUDGMENT OF PERMANENT INJUNCTION
AGAINST DEFENDANT SANDRA L. HATFIELD**

Pursuant to the Order Granting Motion for Entry of Final Judgments [DE-57], final judgment against Defendant Sandra L. Hatfield is

ENTERED as follows:

I.

Section 10(b) and Rule 10b-5 of the Exchange Act

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant Sandra L. Hatfield is restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") (15 U.S.C. § 78j(b)) and Rule 10b-5 promulgated thereunder (17 C.F.R. § 240.10b-5), by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person;

by, directly or indirectly, (i) creating a false appearance or otherwise deceiving any person about the price or trading market for any security, (ii) making any false or misleading statement, or disseminating any false or misleading documents, materials, or information, concerning matters relating to a decision by an investor or prospective investor to buy or sell securities of any company, (iii) buying or selling a security of any issuer, on the basis of material nonpublic information, in breach of a fiduciary duty or other duty of trust or confidence that is owed directly, indirectly, or derivatively, to the issuer of that security or the shareholders of that issuer, or to any other person who is the source of the information; or (iv) by communicating material nonpublic information about a security or issuer, in breach of a fiduciary duty or other duty of trust or confidence, to another person or persons for purposes of buying or selling any security.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) any of Defendant Sandra L. Hatfield's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant Sandra L. Hatfield or with anyone described in (a).

II.

Aiding and Abetting Violations of 13(a) and Rules 12b-20, 13a-1, 13a-11, and 13a-13 of the Exchange Act

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant Sandra L. Hatfield is restrained and enjoined from aiding and abetting any violation of Section 13(a) of the Exchange Act, (15 U.S.C. § 78m(a)) and Rules 12b-20, 13a-1, 13a-11, and 13a-13 thereunder, (17 C.F.R. §§ 240.12b-20, 240.13a-1, 240.13a-11, and 240.13a-13), by providing substantial assistance to an issuer that fails to timely and accurately file annual and quarterly reports with the Commission regarding its assets, liabilities, and related party descriptions and transactions; omitting information necessary to make the required information,

in the light of the circumstances under which they were made, not misleading; or by filing or causing to be filed with the Commission materially false and misleading financial statements.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) any of Defendant Sandra L. Hatfield's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant Sandra L. Hatfield or with anyone described in (a).

III.

Aiding and Abetting Violations of 13(b)(2)(A) and (B) of the Exchange Act

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant Sandra L. Hatfield is restrained and enjoined from aiding and abetting any violation of Section 13(b)(2)(A) or (B) of the Exchange Act, (15 U.S.C. §§ 78m(b)(2)(A) and (b)(2)(B)), by providing substantial assistance to an issuer that fails to make and keep books, records, and accounts, which, in reasonable detail, accurately and fairly reflected the transactions and dispositions of its assets, and failed to devise and maintain a system of internal controls sufficient to provide reasonable assurances that transactions are recorded as necessary to permit preparation of financial statements in conformity with Generally Accepted Accounting Principles or any other criteria applicable to such statements.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) any of Defendant Sandra L. Hatfield's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant Sandra L. Hatfield or with anyone described in (a).

IV.

Violations of 13(b)(5) and Rule 13b2-1 of the Exchange Act

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant Sandra L. Hatfield is restrained and enjoined from any violation of Section 13(b)(5) or Rule 13b2-1 of the Exchange Act, (15 U.S.C. § 78m(b)(5), and Rule 13b2-1, 17 C.F.R. § 240.13b2-1), by circumventing or failing to implement a system of internal controls or falsifying an issuer's books, records, or accounts.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) any of Defendant Sandra L. Hatfield's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant Sandra L. Hatfield or with anyone described in (a).

V.

Officer and Director Bar

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that pursuant to Section 21(d)(2) of the Exchange Act (15 U.S.C. § 78u(d)(2)), Defendant Sandra L. Hatfield is prohibited from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act (15 U.S.C. § 78l) or that is required to file reports pursuant to Section 15(d) of the Exchange Act (15 U.S.C. § 78o(d)).

VI.

Dismissal of the Commission's Monetary Claims

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that in light of the criminal sanctions imposed against Defendant Sandra L. Hatfield, the Commission's claims for disgorgement, prejudgment interest thereon, and civil penalties pursuant to Sections

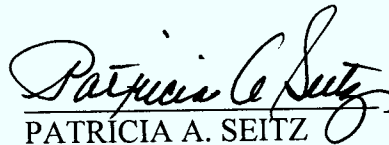
21(d)(3) and 21A of the Exchange Act, (15 U.S.C. §§ 78u(d)(3) and 78u-1) are hereby dismissed with prejudice.

VII.

Retention of Jurisdiction

IT IS HEREBY FURTHER ORDERED that this Court shall retain jurisdiction over this matter and Defendant Sandra L. Hatfield in order to implement and carry out the terms of all Orders and Decrees that may be entered and/or to entertain any suitable application or motion for additional relief within the jurisdiction of this Court, and will order other relief that this Court deems appropriate under the circumstances.

DONE AND ORDERED in Miami, Florida, this 11th day of August, 2015.



PATRICIA A. SEITZ
UNITED STATES DISTRICT JUDGE

cc: All Counsel of Record.