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SOUTHERN DISTRICT OF CALIFORNIA



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**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

vs.

JOHN J. TODD, ROBERT D. MANZA, and  
JEFFREY WEITZEN,

Defendants.

Case No. 03 CV 2230 BEN (WMe)

**FINAL JUDGMENT AS TO DEFENDANT  
ROBERT D. MANZA**

1 The Securities and Exchange Commission having filed a Complaint and Defendant  
2 Robert D. Manza having entered a general appearance; consented to the Court's jurisdiction over  
3 Defendant and the subject matter of this action; consented to entry of this Final Judgment  
4 without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived  
5 findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

6 **I.**

7 IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendant and  
8 Defendant's agents, servants, employees, attorneys, and all persons in active concert or  
9 participation with them who receive actual notice of this Final Judgment by personal service or  
10 otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section  
11 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act"), 15 U.S.C. § 78j(b), and  
12 Rule 10b-5 promulgated thereunder, 17 C.F.R. § 240.10b-5, by using any means or  
13 instrumentality of interstate commerce, or of the mails, or of any facility of any national  
14 securities exchange, in connection with the purchase or sale of any security:

- 15 (a) to employ any device, scheme, or artifice to defraud;
- 16 (b) to make any untrue statement of a material fact or to omit to state a material fact  
17 necessary in order to make the statements made, in the light of the circumstances  
18 under which they were made, not misleading; or
- 19 (c) to engage in any act, practice, or course of business which operates or would  
20 operate as a fraud or deceit upon any person.

21 **II.**

22 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and  
23 Defendant's agents, servants, employees, attorneys, and all persons in active concert or  
24 participation with them who receive actual notice of this Final Judgment by personal service or  
25 otherwise are permanently restrained and enjoined from aiding and abetting any violation of  
26 Section 13(a) of the Exchange Act, 15 U.S.C. § 78m(a), and Rules 12b-20, 13a-1 and 13a-13  
27 thereunder, 17 C.F.R. §§ 240.12b-20, 240.13a-1 & 240.13a-13, by knowingly providing  
28 substantial assistance to any issuer that files annual reports with the Commission on Forms 10-K

1 and quarterly reports with the Commission on Forms 10-Q that fail to contain such further  
2 material information as may be necessary to make the required statements, in the light of the  
3 circumstances under which they are made, not misleading.

4 **III.**

5 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and  
6 Defendant's agents, servants, employees, attorneys, and all persons in active concert or  
7 participation with any of them who receive actual notice of this Final Judgment by personal  
8 service or otherwise are permanently restrained and enjoined from violating, directly or  
9 indirectly, Rule 13b2-2 of the Exchange Act, 17 C.F.R. § 240.13b2-2, while an officer or director  
10 of an issuer by:

- 11 A. making or causing to be made a materially false or misleading statement; or  
12 B. omitting to state, or causing another person to omit to state, any material fact  
13 necessary in order to make statements made, in light of the circumstances under  
14 which such statements are made, not misleading; to an accountant in connection  
15 with:
- 16 1. any audit, review or examination of the financial statements of the issuer  
17 required to be made, or
  - 18 2. the preparation or filing of any document or report required to be filed  
19 with the Commission.

20 **IV.**

21 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that, pursuant to Section  
22 21(d)(2) of the Exchange Act, 15 U.S.C. § 78u(d)(2), Defendant is prohibited for five years  
23 following the date of entry of this Final Judgment, from acting as an officer or director of any  
24 issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act, 15  
25 U.S.C. § 78l or that is required to file reports pursuant to Section 15(d) of the Exchange Act, 15  
26 U.S.C. § 78o(d).

27 **V.**

28 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant is liable for

1 disgorgement of \$85,150, representing profits gained as a result of the conduct alleged in the  
2 Complaint, together with prejudgment interest thereon in the amount of \$75,551.43, and a civil  
3 penalty in the amount of \$110,000 pursuant to Section 21(d)(3) of the Exchange Act. Defendant  
4 shall satisfy this obligation by paying \$270,701.43 within 14 days after entry of this Final  
5 Judgment by certified check, bank cashier's check, or United States postal money order payable  
6 to the Securities and Exchange Commission. The payment shall be delivered or mailed to the  
7 Office of Financial Management, Securities and Exchange Commission, 100 F Street, NE, Stop  
8 6042, Washington DC 20549, and shall be accompanied by a letter identifying Robert D. Manza  
9 as a defendant in this action; setting forth the title and civil action number of this action and the  
10 name of this Court; and specifying that payment is made pursuant to this Final Judgment.  
11 Defendant shall pay post-judgment interest on any delinquent amounts pursuant to 28 U.S.C.  
12 § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United  
13 States Treasury.

14 **VI.**

15 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the Consent is  
16 incorporated herein with the same force and effect as if fully set forth herein, and that Defendant  
17 shall comply with all of the undertakings and agreements set forth therein.

18 **VII.**

19 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that this Court shall retain  
20 jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

21 **VIII.**

22 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that, there being no just  
23 reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is  
24 ordered to enter this Final Judgment forthwith and without further notice.

25  
26 DATED: January 24, 2012

27   
28 HONORABLE ROGER T. BENITEZ  
UNITED STATES DISTRICT JUDGE