

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA
MIAMI DIVISION

CASE NO. 02-23487-CIV-UNGARO-BENAGES/BROWN

SECURITIES AND EXCHANGE COMMISSION,)
)
 Plaintiff,)
)
 v.)
)
 A. B. FINANCING AND INVESTMENTS, INC.,)
 and ANTHONY W. BLISSETT,)
)
 Defendants,)
)
 and)
)
 BLISSCO PROPERTIES, INC.,)
 JAMROCK MARKETPLACE, INC., and)
 CARIBBEAN CULTURAL ART & EXHIBITION)
 CENTRE, INC.,)
)
 Relief Defendants.)
)

FILED by *[Signature]* D.C.
MAR 26 2003
CLARENCE MADDOX
CLERK U.S. DIST. CT.
S.D. OF FLA. - MIAMI

**JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF
AS TO DEFENDANT ANTHONY W. BLISSETT**

Plaintiff Securities and Exchange Commission ("Commission") commenced this action by filing its Complaint against, among others, Defendant Anthony W. Blissett ("Blissett"). In its Complaint, the Commission sought a temporary restraining order, and preliminary and permanent injunctions to prohibit violations by Blissett and the other Defendants of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933 ("Securities Act"), Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act") and Rule 10b-5 thereunder, and Section 206 of the Investment Advisers Act of 1940 ("Advisers Act"). The Commission also sought other

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relief against Blissett in the form of accountings, disgorgement, records preservation, expedited discovery, an order temporarily freezing assets and an order imposing civil penalties.

Blissett – by the Consent affixed hereto, without admitting or denying any of the allegations in the Commission's Complaint or any other paper filed in this action, except as to the jurisdiction of this Court, and with the Commission having preserved its right to seek further relief in the form of (1) disgorgement plus prejudgment interest, and (2) civil penalties, both as prayed for in the Complaint – has agreed to the entry of this Judgment of Permanent Injunction and Other Relief. This Court having accepted such Consent and this Court having jurisdiction over Blissett and the subject matter of this action, and the Court being fully advised in the premises but without making any findings of fact or conclusions of law with respect to any allegations in the Complaint:

I.

**VIOLATION OF SECTIONS 5(a)
AND 5(c) OF THE SECURITIES ACT**

IT IS ORDERED AND ADJUDGED that Blissett, his officers, agents, servants, employees, representatives, and all persons in active concert or participation with any of them, directly or indirectly, who receive actual notice of this Judgment of Permanent Injunction, by personal service or otherwise, are permanently restrained and enjoined from, directly or indirectly:

- (a) making use of the means or instruments of transportation or communication in interstate commerce or of the mails to sell any security, in the form of units, common stock, warrants or any other security, through the use or medium of any

prospectus or otherwise, unless and until a registration statement is in effect with the Commission as to such security;

- (b) carrying or causing to be carried through the mails or in interstate commerce, by means or instruments of transportation, any security, in the form of units, common stock, warrants or any other security, for the purpose of sale or delivery after sale, unless and until a registration statement is in effect with the Commission as to such security; or
- (c) making use of any means or instruments of transportation or communication in interstate commerce or of the mails to offer to sell or offer to buy any security, in the form of units, common stock, warrants or any other security, through the use or medium of any prospectus or otherwise, unless and until a registration statement is filed with the Commission as to such security, or while a registration statement filed with the Commission as to such security is the subject of a refusal order or stop order or (prior to the effective date of the registration statement) any public proceeding or examination under Section 8 of the Securities Act, 15 U.S.C. § 77h;

in violation of Sections 5(a) and 5(c) of the Securities Act, 15 U.S.C. §§ 77e(a) and 77e(c).

II.

FRAUD IN VIOLATION OF SECTION 17(a)(1), 17(a)(2) and 17(a)(3) OF THE SECURITIES ACT

IT IS FURTHER ORDERED AND ADJUDGED that Blissett, his officers, agents, servants, employees, representatives, and all persons in active concert or participation with any of them, directly or indirectly, who receive actual notice of this Judgment of Permanent Injunction, by personal service or otherwise, are, permanently restrained and enjoined from, directly or indirectly,

singly or in concert, as aiders and abettors or otherwise, in the offer or sale of any security, by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails:

- (a) knowingly employing any device, scheme or artifice to defraud;
- (b) obtaining money or property by means of any untrue statement of material fact or omission to state any material fact necessary in order to make the statement made, in light of the circumstances under which it was made, not misleading; or
- (c) engaging in any transaction, practice or course of business which operates or would operate as a fraud or deceit upon purchasers or prospective purchasers of any such security;

in violation of Sections 17(a)(1), 17(a)(2) and 17(a)(3) of the Securities Act, 15 U.S.C. §§ 77q(a)(1), 77q(a)(2) and 77q(a)(3).

III.

FRAUD IN VIOLATION OF SECTION 10(b) OF THE EXCHANGE ACT AND RULE 10b-5 THEREUNDER

IT IS FURTHER ORDERED AND ADJUDGED that Blissett, his officers, agents, servants, employees, representatives, and all persons in active concert or participation with any of them, directly or indirectly, who receive actual notice of this Judgment of Permanent Injunction, by personal service or otherwise, are permanently restrained and enjoined from, knowingly and willfully, directly or indirectly, singly or in concert, as aiders and abettors or otherwise, in connection with the purchase or sale of any security, by the use of any means or instrumentality of interstate commerce or of the mails, or of any facility of any national securities exchange:

- (a) employing any device, scheme or artifice to defraud;

- (b) making any untrue statements of material fact or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) engaging in any act, practice or course of business which operates or would operate as a fraud or deceit upon any person;

in violation of Section 10(b) of the Exchange Act, 15 U.S.C. § 78j(b), and Rule 10b-5, 17 C.F.R. § 240.10b-5, thereunder.

IV.

**VIOLATION OF SECTIONS 206(1) AND 206(2)
OF THE INVESTMENT ADVISERS ACT OF 1940**

IT IS FURTHER ORDERED AND ADJUDGED that Blissett, his officers, agents, servants, employees, representatives, and all persons in active concert or participation with any of them, directly or indirectly, who receive actual notice of this Judgment of Permanent Injunction, by personal service or otherwise, are permanently restrained and enjoined from, by making use of the mails or any means or instrumentality of interstate commerce, knowingly, willfully, or recklessly: (i) employing devices, schemes or artifices to defraud its clients or prospective clients; or (ii) engaging in any transactions, practices and courses of business which operate as a fraud or deceit on clients or prospective clients, in violation of Sections 206(1) and 206(2) of the Investment Advisers Act of 1940, 15 U.S.C. §§ 80b-6(1) and 80b-6(2).

V.

DISGORGEMENT AND PENALTIES

IT IS FURTHER ORDERED AND ADJUDGED that Blissett shall be liable to disgorge all ill-gotten profits or proceeds resulting from the acts and/or conduct alleged in the Complaint,

plus prejudgment interest thereon, less any amount already surrendered or disgorged. The amount of disgorgement, if any, shall be determined by the Court upon the Commission's motion and Blissett's response.

IT IS FURTHER ORDERED AND ADJUDGED that Blissett shall be liable to pay civil penalties pursuant to Section 20(d) of the Securities Act, 15 U.S.C. § 77t(d), and Section 21(d)(3) of the Exchange Act, 15 U.S.C. § 78u(d)(3), in connection with the acts and/or conduct described in the Commission's Complaint. The amount of civil penalties Blissett shall pay, if any, shall be determined by the Court upon the Commission's motion and Blissett's response. For the sole purpose of determination of the amount of disgorgement and civil penalties, if any, Blissett agrees that the allegations of the Complaint shall be taken as true.

VI.

ASSET FREEZE, REPATRIATION ORDER AND RECORDS PRESERVATION

IT IS FURTHER ORDERED AND ADJUDGED that the Court's prior Orders relating to an asset freeze, repatriation of assets and records preservation shall remain in full force and effect until further order of this Court.

VII.

RETENTION OF JURISDICTION

IT IS FURTHER ORDERED AND ADJUDGED that this Court shall retain jurisdiction over this matter and Blissett in order to implement and carry out the terms of all Orders and Decrees that may be entered and/or to entertain any suitable application or motion for additional relief within the jurisdiction of this Court and to order other relief that this Court deems appropriate under the circumstances.

DONE AND ORDERED THIS 25 day of March, 2003, at Miami, Florida.


THE HONORABLE URSULA UNGARO-BENAGES
UNITED STATES DISTRICT JUDGE

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