8-02462-FOIA

foiapa

From: Sent: To: Subject: Iris Hewlett Monday, July 16, 2018 8:34 AM foiapa Emmaus Life Sciences/T.R. Winston & Company IIc

JUL 1 62018

Office of EOIA Services

Per The Freedom of Information Act 5 USC 552, I seek SEC records pertaining o Emmaus Life Sciences registration, 8 K, 10 fillings and in particular the 8 K Filings for Emmaus Life Sciences, Inc., identifies the Board of Director "Phillip M. Satow" resignation from the Board of Directors of Emmaus Life Sciences, inc., (the company) effective upon the election and qualifications of Dr. Scott Gottlieb as the Designee of T.R. Winston & Company, LIC (TRW)", pursuant to a certain agreement dated September 11, 2013 to which TRW has the right to designate a member of the Board (dated June 19, 2015).

I further seek SEC records after June 19, 2015 which identifies Dr. Scott Gottlieb as Board Member for T.R. Winston & Company LLC formerly T.R. Winston Inc., in further relation to my FOIA as stated above.

Please fully document my FOIA Request.

Thank you,

Iris Hewlett



UNITED STATES SECURITIES AND EXCHANGE COMMISSION STATION PLACE 100 F STREET, NE WASHINGTON, DC 20549-2465

Office of FOIA Services

July 26, 2018

Ms. Iris Hewlett

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552 Request No. 18-02462-FOIA

Dear Ms. Hewlett:

This letter is in response to your request, dated and received in this office on July 16, 2018, for access to records pertaining to Emmaus Life Sciences registration, 8-K, and 10-K filings. You stated you are particularly seeking the 8-K filings for Emmaus Life Sciences, Inc., that identifies the Board of Director, Phillip M. Satow's resignation from the Board of Directors of Emmaus Life Sciences, Inc., effective upon the election and qualifications of Dr. Scott Gottlieb as the Designee of T.R. Winston & Company, LLC. Further, you requested SEC records after June 19, 2015 which identifies Dr. Scott Gottlieb as Board Member for T.R. Winston & Company LLC, formerly T.R. Winston, Inc.

The information you requested has been made publicly available. You may view the filings from our website at <u>www.sec.gov</u>. Also, the information of interest to you may be retrieved by clicking the links below.

- https://www.sec.gov/Archives/edgar/data/1420031/000110465 915046354/a15-14403 18k.htm
- https://www.sec.gov/Archives/edgar/data/1420031/000110465 915057783/a15-17244 18k.htm
- https://www.sec.gov/Archives/edgar/data/1420031/000110465 915057783/a15-17244 lex17d1.htm
- https://www.sec.gov/Archives/edgar/data/1420031/000110465 915081092/a15-24070 18k.htm
- https://www.sec.gov/Archives/edgar/data/1420031/000104746 916013346/a2228596z10-k.htm

No fees have been assessed for the processing of this request. If you have any questions, please contact me at <u>taylorf@sec.gov</u> or (202) 551-8349. You may also contact me at

<u>foiapa@sec.gov</u> or (202) 551-7900. You also have the right to seek assistance from Dave Henshall as a FOIA Public Liaison or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or <u>Archives.gov</u> or via e-mail at <u>ogis@nara.gov</u>.

Sincerely,

Inelecia Gaylor

Felecia Taylor FOIA Lead Research Specialist