

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 96304 / November 14, 2022

Admin. Proc. File No. 3-21073

In the Matter of the Application of  
  
DAVID E. JENNINGS  
  
For Review of Action Taken by  
  
FINRA

ORDER GRANTING MOTION TO WITHDRAW APPLICATION FOR REVIEW

On September 8, 2022, David E. Jennings, an associated person of a FINRA member firm, filed an application for review of a FINRA action. Jennings had filed a claim in FINRA's arbitration forum seeking to expunge from his Central Registration Depository record information about a prior arbitration award entered against him. FINRA initially facilitated the parties' selection of an arbitrator and scheduled an arbitration hearing, but subsequently issued a letter denying Jennings's use of the arbitration forum. Jennings appealed.

On November 9, 2022, Jennings filed an unopposed motion to withdraw his application for review. Under the circumstances, it is appropriate to grant Jennings's unopposed request.

Accordingly, IT IS ORDERED that the request of David E. Jennings to withdraw his application for review is granted and that this review proceeding is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary