



One Verizon Way
Mail Code VC54S
Basking Ridge, NJ 07920
908.559.2726
brandon.egren@verizon.com

Brandon N. Egren
Associate General Counsel

November 24, 2020

By email to shareholderproposals@sec.gov

U.S. Securities and Exchange Commission
Division of Corporation Finance
Office of Chief Counsel
100 F Street, N.E.
Washington, D.C. 20549

**Re: Verizon Communications Inc. 2021 Annual Meeting
Shareholder Proposal of Christopher J. Hipp**

Ladies and Gentlemen:

I am writing on behalf of Verizon Communications Inc., a Delaware corporation ("Verizon"), pursuant to Rule 14a-8(j) under the Securities Exchange Act of 1934, as amended, to request that the Staff of the Division of Corporation Finance (the "Staff") of the Securities and Exchange Commission (the "Commission") concur with our view that, for the reasons stated below, Verizon may exclude the shareholder proposal and supporting statement (the "Proposal") submitted by Christopher J. Hipp (the "Proponent"), from the proxy materials to be distributed by Verizon in connection with its 2021 annual meeting of shareholders (the "2021 proxy materials"). A copy of the Proposal is attached as Exhibit A hereto.

In accordance with Rule 14a-8(j), I am submitting this letter not less than 80 calendar days before Verizon intends to file its definitive 2021 proxy materials with the Commission and have concurrently sent a copy of this correspondence by email and overnight courier to the Proponent as notice of Verizon's intent to omit the Proposal from Verizon's 2021 proxy materials. Rule 14a-8(k) and Staff Legal Bulletin No. 14D (November 7, 2008) provide that a shareholder proponent is required to send the company a copy of any correspondence relating to the Proposal which the proponent submits to the Commission or the Staff. Accordingly, we hereby inform the Proponent that, if the Proponent elects to submit additional correspondence to the Commission or the Staff relating to the Proposal, the Proponent should concurrently furnish a copy of that correspondence to the undersigned.

The Proposal

The Proposal states:

It is requested that the compensation committee abolish [the allowance for tax, financial, and estate planning for named executive officers (“NEOs”)] and require our NEOs pay [sic] for their own tax, financial, and estate planning.

Basis for Exclusion

In accordance with Rule 14a-8, Verizon respectfully requests that the Staff confirm that no enforcement action will be recommended against Verizon if the Proposal is omitted from Verizon’s 2021 proxy materials pursuant to Rule 14a-8(f)(1) because the Proponent failed to supply, within 14 days of Verizon’s request, documentary support evidencing satisfaction of the continuous ownership requirements of Rule 14a-8(b)(1).

Analysis

The Proposal may be excluded pursuant to Rule 14a-8(f)(1) because the Proponent failed to supply, within 14 days of Verizon’s request, documentary support evidencing satisfaction of the continuous ownership requirements of Rule 14a-8(b)(1).

Rule 14a-8(b)(1) provides that, in order to be eligible to submit a proposal, a shareholder must have continuously held at least \$2,000 in market value, or 1%, of the company’s securities entitled to be voted on the proposal for at least one year by the date the proposal is submitted and must continue to hold those securities through the date of the meeting. If the proponent is not a registered holder, he or she must provide proof of beneficial ownership of the securities from the “record” holder of the securities. Under Rule 14a-8(f)(1), a company may exclude a shareholder proposal if the proponent fails to provide evidence that it meets the eligibility requirements of Rule 14a-8(b), provided that the company timely notifies the proponent of the deficiency and the proponent fails to correct the deficiency within the required time.

The Proponent submitted the Proposal to Verizon via U.S. mail on August 17, 2020, and Verizon received the Proposal on August 21, 2020. The Proponent’s submission did not include documentation establishing that the Proponent had met the eligibility requirements of Rule 14a-8(b). After determining that the Proponent was not a registered holder of Verizon common stock, in accordance with Rule 14a-8(f)(1) on August 27, 2020, Verizon sent a letter to the Proponent via FedEx (the “Deficiency Notice”), which was received by the Proponent on August 28, 2020, within 14 days of the date that Verizon received the Proposal. A copy of the Deficiency Notice, together with evidence of delivery, is attached as Exhibit B hereto. The Deficiency Notice:

- informed the Proponent of the eligibility requirements of Rule 14a-8;
- requested that the Proponent “provide a written statement from the record holder of [his] shares verifying that, as of the date the proposal was submitted (August 17,

2020) [he] held and [has] continuously held for at least one year, at least \$2,000 in market value of Verizon common stock”;

- stated that “[o]nly DTC participants are viewed as ‘record holders’ of securities for purposes of providing this written statement” and directed the Proponent to the website address where the DTC participant list can be found;
- advised the Proponent that the requested documentation had to be postmarked or transmitted electronically to Verizon within 14 days from the day the Proponent received the Deficiency Notice; and
- included a copy of Rule 14a-8, as suggested in Section G.3 of Staff Legal Bulletin No. 14 (July 13, 2001) (“SLB 14”) relating to eligibility and procedural issues.

On August 31, 2020, Verizon received an email from the Proponent in response to the Deficiency Notice, a copy of which is attached as Exhibit C hereto. The response consisted of a message written by the Proponent and attaching brokerage account statements issued by Commonwealth Financial Network for the periods (i) December 1, 2017 to December 31, 2017, and (ii) July 1, 2020 to July 31, 2020 (the “Account Statements”). In accordance with Section G of Staff Legal Bulletin No. 14C (June 28, 2005), Verizon has included the Account Statements in Exhibit C because they clearly constitute correspondence relevant to this no-action request. However, we have redacted from the Account Statements the Proponent’s account numbers and information relating to the Proponent’s investments other than in Verizon stock, which are not relevant to this no-action request. Should the Staff require unredacted copies of the Account Statements, we will provide them upon your request.

The Account Statements do not satisfy the requirements of Rule 14a-8(b)(1) because (i) they fail to establish continuous ownership of Verizon common stock for at least one year, and (ii) Commonwealth Financial Network, the entity that issued the Account Statements, is not a DTC participant.

A. The Account Statements do not demonstrate continuous ownership of Verizon stock.

In Section C.1. of SLB 14, the Staff addressed whether periodic investment statements, such as the Account Statements, could satisfy the continuous ownership requirements of Rule 14a-8(b):

(2) Do a shareholder’s monthly, quarterly or other periodic investment statements demonstrate sufficiently continuous ownership of the securities?

No. A shareholder must submit an affirmative written statement from the record holder of his or her securities that specifically verifies that the shareholder owned the securities *continuously* for a period of one year as of the time of submitting the proposal.

(Emphasis in original.)

The Account Statements verify the Proponent's beneficial ownership of Verizon common stock only in December 2017 and July 2020. The Account Statements do not establish *any* ownership of Verizon common stock on *any* other dates. Rule 14a-8(b)(2) does not require a company to "connect the dots" and make inferences about continuous stock ownership. Rather, it is the proponent's responsibility to provide proof of continuous ownership in the form of a written statement from the record holder of the proponent's stock.

The Staff has consistently permitted exclusion of proposals on the grounds that brokerage statements or account statements submitted in support of a proponent's ownership were insufficient to prove continuous beneficial ownership under Rule 14a-8(b). See *Verizon Communications Inc.* (December 20, 2019); *International Business Machines Corporation* (December 13, 2019); *BlackRock, Inc.* (March 21, 2019); *E.I. du Pont de Nemours and Co.* (January 17, 2012); and *Verizon Communications Inc.* (January 25, 2008, recon. denied February 4, 2008). Similarly, documentation from a broker that establishes ownership only as of certain specific dates is not sufficient to establish continuous ownership for the one-year period required by Rule 14a-8(b). See *Exxon Mobil Corp.* (March 28, 2019).

B. The Account Statements were not issued by a DTC participant.

Because the Proponent is not a registered holder of Verizon common stock, Rule 14a-8(b)(2) required him to submit "a written statement from the 'record' holder of [his] securities." In Staff Legal Bulletin No. 14F (October 18, 2011) ("SLB 14F"), the Staff stated that "only DTC participants should be viewed as 'record' holders of securities that are deposited at DTC." The Deficiency Notice clearly advised the Proponent of this requirement and specifically stated that the written statement had to be provided by the record holder of the Proponent's shares.

Based on our research, Commonwealth Financial Network, the entity that issued the Account Statements, is not a DTC participant. The alphabetical list of DTC participants for the month ending August 31, 2020 is attached as Exhibit D hereto. Commonwealth Financial Network does not appear on the list. The website for Commonwealth Financial Network does not appear to indicate that it is affiliated with any entity that is a DTC participant. While the Account Statements state that the account is "carried with National Financial Services LLC," and National Financial Services LLC is on the list of DTC participants in Exhibit D, the Account Statements were not issued by National Financial Services LLC.

Since issuing SLB 14F, the Staff has consistently concurred in the exclusion of proposals accompanied by proof of ownership from a broker that was not a DTC participant or an affiliate of a DTC participant and thus was not a "record holder" of shares as required by Rule 14a-8(b). See *Chubb Limited* (February 13, 2018), *Devon Energy Corporation* (March 13, 2015), *AT&T Inc.* (December 2, 2014), and *Johnson & Johnson* (recon. March 2, 2012).

In summary, the Proponent failed to follow the eligibility and procedural requirements set forth in Rule 14a-8(b). Within 14 calendar days of receiving the Proposal, Verizon notified the Proponent in writing of the procedural and eligibility deficiencies and clearly explained what was

U.S. Securities and Exchange Commission
Division of Corporation Finance
Office of Chief Counsel
November 24, 2020
Page 5

required from the Proponent to correct the deficiencies. The Proponent failed adequately to correct the deficiencies.

Conclusion

For the foregoing reasons, Verizon believes that the Proposal may be properly excluded from its 2021 proxy materials in reliance on Rule 14a-8(f)(1). Verizon respectfully requests that the Staff confirm that it will not recommend enforcement action to the Commission if Verizon omits the Proposal from its 2021 proxy materials.

Verizon requests that the Staff send a notification of its determination of this matter by email to the undersigned at brandon.egren@verizon.com and to the Proponent at chris@hippandassociates.net.

If you have any questions with respect to this matter, please telephone me at (908) 559-2726.

Very truly yours,



Brandon N. Egren
Associate General Counsel

Enclosures

Cc: Christopher J. Hipp

Exhibit A

The Proposal

As a shareholder of Verizon owning 160 shares, I am submitting the following proposal:

According to the 2019 annual report which lists the compensation of the Named Executive Officers (NEO), our CEO received compensation of over \$18 million, which was 151 times that of the average employee. The pay the CEO receives could possibly be justified had he started the company himself. However, he is strictly a caretaker of a company that has been around for many years and was started by a predecessor. The CEO and other executives are handsomely rewarded with compensation and benefits that individual shareholders can only dream about. Our CEO is paid more in one year than most people will make in a lifetime. In addition to current compensation, the retirement pension he and the other executives will receive, which again most of us do not have, will ensure that they will walk away with a lifetime income well above the average employee or shareholder.

Be that as it may, of all the generous perks our executives receive one of the most upsetting benefits each of our NEOs receive is an allowance for tax, financial, and estate planning. For NEOs making between \$7.7 and \$18 million/year, it is an insult to shareholders to have the company, and us, pay for a service they can well afford. The rest of the world pays for these services out of our own pocket and do not have the luxury of having our employer pick up this expense. There is no valid reason for this other than the willingness of the board to lavish as many benefits on our NEOs as possible, since it only "company money". While this \$66,430 expense to the company is minimal in the grand scheme of things there is no justification for continuing this fringe for our NEOs.

It is requested that the compensation committee abolish this benefit and require our NEOs pay for their own tax, financial, and estate planning. They are more than able to do so with the pay they receive.

Christopher J Hipp

CLEVELAND OH 44103

17 AUG 2000 PM 1 L



ASSISTANT CORPORATE SECRETARY
VERIZON COMMUNICATIONS INC.
1095 AVENUE OF THE AMERICAS
NEW YORK, NY

10036

10036-679758



Exhibit B

Deficiency Notice and Evidence of Delivery



**One Verizon Way
Mail Code VC54S
Basking Ridge, NJ 07920
908.559.2726
brandon.egren@verizon.com**

**Brandon N. Egren
Associate General Counsel**

August 27, 2020

By FedEx

Mr. Christopher J. Hipp

Dear Mr. Hipp:

I am writing to acknowledge your letter submitting a shareholder proposal for inclusion in Verizon Communications Inc.'s proxy statement for the 2021 annual meeting of shareholders, which was submitted on August 17, 2020 (the date of the postmark) and received by Verizon on August 21, 2020.

Under the Securities and Exchange Commission's (SEC) proxy rules, in order to be eligible to submit a proposal for the 2021 annual meeting, a proponent must have continuously held at least \$2,000 in market value, or 1%, of Verizon's common stock for at least one year prior to the date that the proposal is submitted. In addition, the proponent must continue to hold at least this amount of the stock through the date of the annual meeting and provide a written statement of intent to do so. For your reference, I have attached a copy of the SEC's proxy rules relating to shareholder proposals.

Our records indicate that you are not a registered holder of Verizon common stock. Please provide a written statement from the record holder of your shares verifying that, as of the date the proposal was submitted (August 17, 2020) you held and have continuously held for at least one year, at least \$2,000 in market value of Verizon common stock. Please note that some banks or brokers are not considered to be "record holders" under the SEC proxy rules because they do not hold custody of client funds and securities. Only DTC participants are viewed as "record holders" of securities for purposes of providing this written statement. You can confirm whether a particular broker or bank is a DTC participant by checking DTC's participant list, which is currently available on the Internet at <http://www.dtcc.com/client-center/dtc-directories>. If your bank or broker is not a DTC participant, they should be able to provide you with a contact at the DTC participant that has custody of their securities.

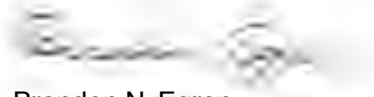
In addition, please provide a written statement that you intend to continue to hold at least \$2,000 in market value, or 1%, of Verizon's common stock through the date of the 2021 annual meeting.

Mr. Christopher J. Hipp
August 27, 2020
Page 2

The SEC rules require that this documentation be postmarked or transmitted electronically to us no later than 14 days from the day you receive this letter. Please direct your response to my attention using the contact information above. If possible, we would appreciate receiving your response, or a copy of your response, by email. Once we receive this documentation, we will be in a position to determine whether the proposal is eligible for inclusion in the proxy statement for the Verizon 2021 annual meeting.

Please do not hesitate to contact me if you have any questions.

Very truly yours,



Brandon N. Egren
Associate General Counsel

Attachment

Cc: William L. Horton, Jr.

§240.14a-8 Shareholder proposals.

This section addresses when a company must include a shareholder's proposal in its proxy statement and identify the proposal in its form of proxy when the company holds an annual or special meeting of shareholders. In summary, in order to have your shareholder proposal included on a company's proxy card, and included along with any supporting statement in its proxy statement, you must be eligible and follow certain procedures. Under a few specific circumstances, the company is permitted to exclude your proposal, but only after submitting its reasons to the Commission. We structured this section in a question-and-answer format so that it is easier to understand. The references to "you" are to a shareholder seeking to submit the proposal.

(a) *Question 1:* What is a proposal? A shareholder proposal is your recommendation or requirement that the company and/or its board of directors take action, which you intend to present at a meeting of the company's shareholders. Your proposal should state as clearly as possible the course of action that you believe the company should follow. If your proposal is placed on the company's proxy card, the company must also provide in the form of proxy means for shareholders to specify by boxes a choice between approval or disapproval, or abstention. Unless otherwise indicated, the word "proposal" as used in this section refers both to your proposal, and to your corresponding statement in support of your proposal (if any).

(b) *Question 2:* Who is eligible to submit a proposal, and how do I demonstrate to the company that I am eligible? (1) In order to be eligible to submit a proposal, you must have continuously held at least \$2,000 in market value, or 1%, of the company's securities entitled to be voted on the proposal at the meeting for at least one year by the date you submit the proposal. You must continue to hold those securities through the date of the meeting.

(2) If you are the registered holder of your securities, which means that your name appears in the company's records as a shareholder, the company can verify your eligibility on its own, although you will still have to provide the company with a written statement that you intend to continue to hold the securities through the date of the meeting of shareholders. However, if like many shareholders you are not a registered holder, the company likely does not know that you are a shareholder, or how many shares you own. In this case, at the time you submit your proposal, you must prove your eligibility to the company in one of two ways:

(i) The first way is to submit to the company a written statement from the "record" holder of your securities (usually a broker or bank) verifying that, at the time you submitted your proposal, you continuously held the securities for at least one year. You must also include your own written statement that you intend to continue to hold the securities through the date of the meeting of shareholders; or

(ii) The second way to prove ownership applies only if you have filed a Schedule 13D (§240.13d-101), Schedule 13G (§240.13d-102), Form 3 (§249.103 of this chapter), Form 4 (§249.104 of this chapter) and/or Form 5 (§249.105 of this chapter), or amendments to those documents or updated forms, reflecting your ownership of the shares as of or before the date on which the one-year eligibility period begins. If you have filed one of these documents with the SEC, you may demonstrate your eligibility by submitting to the company:

(A) A copy of the schedule and/or form, and any subsequent amendments reporting a change in your ownership level;

(B) Your written statement that you continuously held the required number of shares for the one-year period as of the date of the statement; and

(C) Your written statement that you intend to continue ownership of the shares through the date of the company's annual or special meeting.

(c) *Question 3:* How many proposals may I submit? Each shareholder may submit no more than one proposal to a company for a particular shareholders' meeting.

(d) *Question 4:* How long can my proposal be? The proposal, including any accompanying supporting statement, may not exceed 500 words.

(e) *Question 5:* What is the deadline for submitting a proposal? (1) If you are submitting your proposal for the company's annual meeting, you can in most cases find the deadline in last year's proxy statement. However, if the company did not hold an annual meeting last year, or has changed the date of its meeting for this year more than 30 days from last year's meeting, you can usually find the deadline in one of the company's quarterly reports on Form 10-Q (§249.308a of this chapter), or in shareholder reports of investment companies under §270.30d-1 of this chapter of the Investment Company Act of 1940. In order to avoid controversy, shareholders should submit their proposals by means, including electronic means, that permit them to prove the date of delivery.

(2) The deadline is calculated in the following manner if the proposal is submitted for a regularly scheduled annual meeting. The proposal must be received at the company's principal executive offices not less than 120 calendar days before the date of the company's proxy statement released to shareholders in connection with the previous year's annual meeting. However, if the company did not hold an annual meeting the previous year, or if the date of this year's annual meeting has been changed by more than 30 days from the date of the previous year's meeting, then the deadline is a reasonable time before the company begins to print and send its proxy materials.

(3) If you are submitting your proposal for a meeting of shareholders other than a regularly scheduled annual meeting, the deadline is a reasonable time before the company begins to print and send its proxy materials.

(f) *Question 6:* What if I fail to follow one of the eligibility or procedural requirements explained in answers to Questions 1 through 4 of this section? (1) The company may exclude your proposal, but only after it has notified you of the problem, and you have failed adequately to correct it. Within 14 calendar days of receiving your proposal, the company must notify you in writing of any procedural or eligibility deficiencies, as well as of the time frame for your response. Your response must be postmarked, or transmitted electronically, no later than 14 days from the date you received the company's notification. A company need not provide you such notice of a deficiency if the deficiency cannot be remedied, such as if you fail to submit a proposal by the company's properly determined deadline. If the company intends to exclude the proposal, it will later have to make a submission under §240.14a-8 and provide you with a copy under Question 10 below, §240.14a-8(j).

(2) If you fail in your promise to hold the required number of securities through the date of the meeting of shareholders, then the company will be permitted to exclude all of your proposals from its proxy materials for any meeting held in the following two calendar years.

(g) *Question 7:* Who has the burden of persuading the Commission or its staff that my proposal can be excluded? Except as otherwise noted, the burden is on the company to demonstrate that it is entitled to exclude a proposal.

(h) *Question 8:* Must I appear personally at the shareholders' meeting to present the proposal? (1) Either you, or your representative who is qualified under state law to present the proposal on your behalf, must attend the meeting to present the proposal. Whether you attend the meeting yourself or send a qualified representative to the meeting in your place, you should make sure that you, or your representative, follow the proper state law procedures for attending the meeting and/or presenting your proposal.

(2) If the company holds its shareholder meeting in whole or in part via electronic media, and the company permits you or your representative to present your proposal via such media, then you may appear through electronic media rather than traveling to the meeting to appear in person.

(3) If you or your qualified representative fail to appear and present the proposal, without good cause, the company will be permitted to exclude all of your proposals from its proxy materials for any meetings held in the following two calendar years.

(i) *Question 9:* If I have complied with the procedural requirements, on what other bases may a company rely to exclude my proposal? (1) Improper under state law: If the proposal is not a proper subject for action by shareholders under the laws of the jurisdiction of the company's organization;

NOTE TO PARAGRAPH (i)(1): Depending on the subject matter, some proposals are not considered proper under state law if they would be binding on the company if approved by shareholders. In our experience, most proposals that are cast as recommendations or requests that the board of directors take specified action are proper under state law. Accordingly, we will assume that a proposal drafted as a recommendation or suggestion is proper unless the company demonstrates otherwise.

(2) *Violation of law:* If the proposal would, if implemented, cause the company to violate any state, federal, or foreign law to which it is subject;

NOTE TO PARAGRAPH (i)(2): We will not apply this basis for exclusion to permit exclusion of a proposal on grounds that it would violate foreign law if compliance with the foreign law would result in a violation of any state or federal law.

(3) *Violation of proxy rules:* If the proposal or supporting statement is contrary to any of the Commission's proxy rules, including §240.14a-9, which prohibits materially false or misleading statements in proxy soliciting materials;

(4) *Personal grievance; special interest:* If the proposal relates to the redress of a personal claim or grievance against the company or any other person, or if it is designed to result in a benefit to you, or to further a personal interest, which is not shared by the other shareholders at large;

(5) *Relevance:* If the proposal relates to operations which account for less than 5 percent of the company's total assets at the end of its most recent fiscal year, and for less than 5 percent of its net earnings and gross sales for its most recent fiscal year, and is not otherwise significantly related to the company's business;

(6) *Absence of power/authority*: If the company would lack the power or authority to implement the proposal;

(7) *Management functions*: If the proposal deals with a matter relating to the company's ordinary business operations;

(8) *Director elections*: If the proposal:

(i) Would disqualify a nominee who is standing for election;

(ii) Would remove a director from office before his or her term expired;

(iii) Questions the competence, business judgment, or character of one or more nominees or directors;

(iv) Seeks to include a specific individual in the company's proxy materials for election to the board of directors; or

(v) Otherwise could affect the outcome of the upcoming election of directors.

(9) *Conflicts with company's proposal*: If the proposal directly conflicts with one of the company's own proposals to be submitted to shareholders at the same meeting;

NOTE TO PARAGRAPH (i)(9): A company's submission to the Commission under this section should specify the points of conflict with the company's proposal.

(10) *Substantially implemented*: If the company has already substantially implemented the proposal;

NOTE TO PARAGRAPH (i)(10): A company may exclude a shareholder proposal that would provide an advisory vote or seek future advisory votes to approve the compensation of executives as disclosed pursuant to Item 402 of Regulation S-K (§229.402 of this chapter) or any successor to Item 402 (a "say-on-pay vote") or that relates to the frequency of say-on-pay votes, provided that in the most recent shareholder vote required by §240.14a-21(b) of this chapter a single year (*i.e.*, one, two, or three years) received approval of a majority of votes cast on the matter and the company has adopted a policy on the frequency of say-on-pay votes that is consistent with the choice of the majority of votes cast in the most recent shareholder vote required by §240.14a-21(b) of this chapter.

(11) *Duplication*: If the proposal substantially duplicates another proposal previously submitted to the company by another proponent that will be included in the company's proxy materials for the same meeting;

(12) *Resubmissions*: If the proposal deals with substantially the same subject matter as another proposal or proposals that has or have been previously included in the company's proxy materials within the preceding 5 calendar years, a company may exclude it from its proxy materials for any meeting held within 3 calendar years of the last time it was included if the proposal received:

(i) Less than 3% of the vote if proposed once within the preceding 5 calendar years;

(ii) Less than 6% of the vote on its last submission to shareholders if proposed twice previously within the preceding 5 calendar years; or

(iii) Less than 10% of the vote on its last submission to shareholders if proposed three times or more previously within the preceding 5 calendar years; and

(13) *Specific amount of dividends*: If the proposal relates to specific amounts of cash or stock dividends.

(j) *Question 10*: What procedures must the company follow if it intends to exclude my proposal? (1) If the company intends to exclude a proposal from its proxy materials, it must file its reasons with the Commission no later than 80 calendar days before it files its definitive proxy statement and form of proxy with the Commission. The company must simultaneously provide you with a copy of its submission. The Commission staff may permit the company to make its submission later than 80 days before the company files its definitive proxy statement and form of proxy, if the company demonstrates good cause for missing the deadline.

(2) The company must file six paper copies of the following:

(i) The proposal;

(ii) An explanation of why the company believes that it may exclude the proposal, which should, if possible, refer to the most recent applicable authority, such as prior Division letters issued under the rule; and

(iii) A supporting opinion of counsel when such reasons are based on matters of state or foreign law.

(k) *Question 11*: May I submit my own statement to the Commission responding to the company's arguments?

Yes, you may submit a response, but it is not required. You should try to submit any response to us, with a copy to the company, as soon as possible after the company makes its submission. This way, the Commission staff will have time to consider fully your submission before it issues its response. You should submit six paper copies of your response.

(l) *Question 12*: If the company includes my shareholder proposal in its proxy materials, what information about me must it include along with the proposal itself?

(1) The company's proxy statement must include your name and address, as well as the number of the company's voting securities that you hold. However, instead of providing that information, the company may instead include a statement that it will provide the information to shareholders promptly upon receiving an oral or written request.

(2) The company is not responsible for the contents of your proposal or supporting statement.

(m) *Question 13*: What can I do if the company includes in its proxy statement reasons why it believes shareholders should not vote in favor of my proposal, and I disagree with some of its statements?

(1) The company may elect to include in its proxy statement reasons why it believes shareholders should vote against your proposal. The company is allowed to make arguments reflecting its own point of view, just as you may express your own point of view in your proposal's supporting statement.

(2) However, if you believe that the company's opposition to your proposal contains materially false or misleading statements that may violate our anti-fraud rule, §240.14a-9, you should promptly send to the Commission staff and the company a letter explaining the reasons for your view, along with a copy of the company's statements opposing your proposal. To the extent possible, your letter should include specific factual information demonstrating the inaccuracy of the company's claims. Time permitting, you may wish to try to work out your differences with the company by yourself before contacting the Commission staff.

(3) We require the company to send you a copy of its statements opposing your proposal before it sends its proxy materials, so that you may bring to our attention any materially false or misleading statements, under the following timeframes:

(i) If our no-action response requires that you make revisions to your proposal or supporting statement as a condition to requiring the company to include it in its proxy materials, then the company must provide you with a copy of its opposition statements no later than 5 calendar days after the company receives a copy of your revised proposal; or

(ii) In all other cases, the company must provide you with a copy of its opposition statements no later than 30 calendar days before its files definitive copies of its proxy statement and form of proxy under §240.14a-6.

[63 FR 29119, May 28, 1998; 63 FR 50622, 50623, Sept. 22, 1998, as amended at 72 FR 4168, Jan. 29, 2007; 72 FR 70456, Dec. 11, 2007; 73 FR 977, Jan. 4, 2008; 76 FR 6045, Feb. 2, 2011; 75 FR 56782, Sept. 16, 2010]

IMPORTANT!

The impact of Hurricane Laura continues to cause hazardous conditions across portions of AR, LA, MS, OK, and TX. [Learn More](#)





Delivered
Friday 8/28/2020 at 3:56 pm



DELIVERED

Signature not required

[GET STATUS UPDATES](#)
[OBTAIN PROOF OF DELIVERY](#)

FROM

BASKING RIDGE, NJ US

TO

NORWALK, OH US

Shipment Facts

TRACKING NUMBER

SERVICE
FedEx Priority Overnight

WEIGHT
0.5 lbs / 0.23 kgs

DELIVERED TO
Residence

TOTAL PIECES
1

TOTAL SHIPMENT WEIGHT
0.5 lbs / 0.23 kgs

TERMS
Shipper

PACKAGING
FedEx Envelope

SPECIAL HANDLING SECTION
Deliver Weekday, Residential Delivery

STANDARD TRANSIT
 8/28/2020 by 10:30 am

SHIP DATE
 Thu 8/27/2020

ACTUAL DELIVERY
Fri 8/28/2020 3:56 pm

Travel History

Local Scan Time



Friday, 8/28/2020

3:56 pm	NORWALK, OH	Delivered Package delivered to recipient address - release authorized
9:35 am	LORAIN, OH	On FedEx vehicle for delivery
9:13 am	LORAIN, OH	At local FedEx facility
7:25 am	CLEVELAND, OH	At destination sort facility
4:58 am	MEMPHIS, TN	Departed FedEx location

8/28/2020

Track your package or shipment with FedEx Tracking

12:39 am MEMPHIS, TN Arrived at FedEx location

Thursday, 8/27/2020

11:15 pm NEWARK, NJ Departed FedEx location

9:39 pm NEWARK, NJ Arrived at FedEx location

8:56 pm BRANCHBURG, NJ Left FedEx origin facility

6:31 pm BRANCHBURG, NJ Picked up

1:50 pm Shipment information sent to FedEx

Exhibit C

Proponent's Response to Deficiency Notice




Egren, Brandon Norman <brandon.egren@verizon.com>


[E] Verification of holdings

1 message

Chris Hipp <chris@hippandassociates.net>
To: "brandon.egren@verizon.com" <brandon.egren@verizon.com>

Mon, Aug 31, 2020 at 9:45 PM

 **Secure E-Mail**



This is a secure message.

Click [this link](#) within 30 days of receiving the message to view your e-mail from your advisor.

[Help](#)


Disclaimer: This e-mail and its content are confidential and intended solely for the use of the addressee. Please notify the sender if you have received this e-mail in error or simply delete it.

Secured by Proofpoint Encryption, Copyright © 2009-2020 Proofpoint, Inc. All rights reserved.

 **SecureMessageAtt.html**
145K

Verification of holdings

From: Chris Hipp
To: brandon.egren@verizon.com
Cc:
Sent: 8/31/2020 9:45:25 PM

Attachments:  [2017-12-29CE0030143000775177.pdf](#)
 [2020-07-31CE0030141000695800.pdf](#)

Brandon:

Attached are copies of my brokerage statements from 7-31-2020 and 12-31-2017 listing my holding in Verizon. I fully intend to hold these shares past the next annual meeting as I consider this to be a long-term investment. I trust this will suffice for your purposes and will permit my proxy proposal to be considered.

Thank you.

Christopher Hipp

Your message is ready to be sent with the following file or link attachments:

2017-12-29CE0030143000775177
2020-07-31CE0030141000695800

Note: To protect against computer viruses, e-mail programs may prevent sending or receiving certain types of file attachments. Check your e-mail security settings to determine how attachments are handled.

Exhibit D

DTC Participant List for the Month Ending August 31, 2020

DTC PARTICIPANT REPORT (Alphabetical Sort)
Month Ending - August 31, 2020

PARTICIPANT ACCOUNT NAME	NUMBER
ABN AMRO CLEARING CHICAGO LLC	0695
ABN AMRO SECURITIES (USA) LLC	0349
ABN AMRO SECURITIES (USA) LLC/A/C#2	7571
ABN AMRO SECURITIES (USA) LLC/REPO	7590
ABN AMRO SECURITIES (USA) LLC/ABN AMRO BANK NV REPO	7591
ALPINE SECURITIES CORPORATION	8072
AMALGAMATED BANK	2352
AMALGAMATED BANK OF CHICAGO	2567
AMHERST PIERPONT SECURITIES LLC	0413
AMERICAN ENTERPRISE INVESTMENT SERVICES INC.	0756
AMERICAN ENTERPRISE INVESTMENT SERVICES INC./CONDUIT	7260
APEX CLEARING CORPORATION	0158
APEX CLEARING CORPORATION/APEX CLEARING MATCHBOOK	4439
APEX CLEARING CORPORATION/APEX CLEARING STOCK LOAN	8308
ARCHIPELAGO SECURITIES, L.L.C.	0436
ARCOLA SECURITIES, INC.	0166
ASCENSUS TRUST COMPANY	2563
ASSOCIATED BANK, N.A.	2257
ASSOCIATED BANK, N.A./ASSOCIATED TRUST COMPANY/IPA	1620
AXOS CLEARING LLC	0052
AXOS CLEARING LLC/ CORRESPONDENT FLIP FACILITATION ACCOUNT	1186
AXOS CLEARING LLC/STOCK LOAN	7576
B. RILEY SECURITIES, INC.	9186
BANK OF AMERICA, NATIONAL ASSOCIATION	2236
BANK OF AMERICA, NA/GWIM TRUST OPERATIONS	0955
BANK OF AMERICA/LASALLE BANK NA/IPA, DTC #1581	1581
BANK OF AMERICA NA/CLIENT ASSETS	2251
BANK OF CHINA, NEW YORK BRANCH	2555
BANK OF CHINA NEW YORK BRANCH/CLIENT CUSTODY	2656
BANK OF MONTREAL, CHICAGO BRANCH	2309
BANKERS' BANK	2557
BARCLAYS BANK PLC NEW YORK BRANCH	7263
BARCLAYS BANK PLC NEW YORK BRANCH/BARCLAYS BANK PLC-LNBR	8455
BARCLAYS CAPITAL INC.	5101
BARCLAYS CAPITAL INC./LE	0229
BB&T SECURITIES, LLC	0702
BBVA SECURITIES INC.	2786

BETA CAPITAL SECURITIES LLC	4077
BETHESDA SECURITIES, LLC	8860
BGC FINANCIAL, L.P.	0537
BGC FINANCIAL L.P./BGC BROKERS L.P.	5271
BMO CAPITAL MARKETS CORP.	0045
BMO CAPITAL MARKETS CORP./PALOMA	5221
BMOCM/BONDS	5257
BMO HARRIS BANK NA	2697
BMO HARRIS BANK NA/TRUST	0992
BMO HARRIS BANK NA/M&I BANK IPA	1530
BMO HARRIS BANK NA/IPA	1582
BMO HARRIS BANK NA/DEALER	2559
BNP PARIBAS SECURITIES CORP.	0630
BNP PARIBAS SECURITIES CORP./PRIME BROKERAGE	2154
BNP PARIBAS SECURITIES CORP./PRIME STOCK LENDING	2885
BNP PARIBAS SECURITIES CORP./PRIME ARBITRAGE SLAB	8238
BNP PARIBAS, NEW YORK BRANCH	1569
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS LONDON ALM	1014
BNP PARIBAS, NEW YORK BRANCH/MERLION/CLIENT ASSETS	1405
BNP PARIBAS, NEW YORK BRANCH/IPA	1601
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS PRIME BROKERAGE CUSTODIAN	2147
BNP PARIBAS, NY BRANCH/ BNPP SA	2322
BNP PARIBAS, NEW YORK BRANCH/CUSTODY/CLIENT ASSETS	2787
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS PRIME BROKERAGE INTERNATIONAL	2884
BNP PARIBAS, NEW YORK BRANCH/MARKETAXESS/CLIENT ASSETS	4302
BNP PARIBAS NEW YORK BRANCH / BNP PARIBAS SA (METLIFE)	4252
BNP PARIBAS NY BRANCH LONDON BONDS	5153
BNP PARIBAS NY BRANCH/PARIS BONDS	7382
BNP PARIBAS NY BRANCH/USAL	8183
BNP PARIBAS NEW YORK BRANCH/BNP PARIBAS PROPRIETARY ASSETS	8462
BNY MELLON CAPITAL MARKETS, LLC	2523
BOKF, NATIONAL ASSOCIATION	3975
BROWN BROTHERS HARRIMAN & CO.	0010
BROWN BROTHERS HARRIMAN & CO./ETF	0109
BROWN BROTHERS HARRIMAN & CO. /SECURITIES LENDING SPO ACCOUNT II	4048
SECURITIES LENDING SPO ACCOUNT/BBH	5288
BUCKLER SECURITIES LLC	3961
CAJA DE VALORES S.A.	5610
CALDWELL TRUST COMPANY	2687
CANTOR FITZGERALD & CO.	0696
CANTOR FITZGERALD & CO. / CANTOR CLEARING SERVICES	0197
CANTOR FITZGERALD/STOCK LOAN	5253
CANTOR FITZGERALD & CO./DEBT CAPITAL MARKETS	7311
CAVALI ICLV S.A.	2011
CDS CLEARING AND DEPOSITORY SERVICES INC.	4800

BANK OF MONTREAL/US TRANSIT/CDS	3975
BANK OF MONTREAL/US TRANSIT/CDS	4845
BANK OF MONTREAL**	4855
BANK OF MONTREAL/ CHICAGO/CDS**	4817
BANK OF MONTREAL/ IRELAND/CDS**	4819
BANK OF MONTREAL/ LONDON/CDS**	4822
BANK OF NOVA SCOTIA/BNS LONDON/CDS**	4802
BBS SECURITIES INC./CDS**	5085
BMO NESBITT BURNS INC./BMO NB Equity Finance BMIRE/CDS	4947
BMO NESBITT BURNS INC./BMO NB Equity Finance CMLUK/CDS	4809
BMO NESBITT BURNS INC./BMO TRUST COMPANY/CDS**	4712
BMO NESBITT BURNS INC./CDS**	5043
BMO NESBITT BURNS/INSTITUTIONAL/CDS**	4797
CALDWELL SECURITIES LTD./CDS**	5013
CANACCORD GENUITY CORP./CDS**	5046
CDS CLEARING AND DEPOSITORY SERVICES INC.**	5099
CDS CLEARING AND DEPOSITORY SERVICES INC./CDS DEFAULT MANAGEMENT CONTROL ACCOUNT	5068
CIBC WORLD MARKETS INC./CDS**	5030
CREDENTIAL SECURITIES INC./CDS**	5083
CREDIT SUISSE SECURITIES CANADA INC./CDS**	5019
DESJARDINS SECURITIES INC./CDS**	5028
EDWARD JONES/CDS**	5012
FIDELITY CLEARING CANADA ULC/CDS**	5040
FIDUCIE DESJARDINS INC.**	4818
HAYWOOD SECURITIES INC./CDS**	5058
J.P. MORGAN SECURITIES CANADA INC. **	4808
LAURENTIAN BANK SECURITIES INC./CDS	5001
LEEDE JONES GABLE INC./CDS**	5071
MACKIE RESEARCH CAPITAL CORPORATION/CDS**	5029
MANULIFE SECURITIES INCORPORATED/CDS**	5047
NATIONAL BANK FINANCIAL INC./CDS**	5008
ODLUM BROWN LIMITED/CDS**	5074
PETERS & CO. LIMITED/CDS**	5014
PI FINANCIAL CORP./CDS**	5075
PICTET CANADA L.P./CDS**	5027
QUESTRADE INC./CDS**	5084
RAYMOND JAMES LTD./CDS**	5076
RBC DOMINION SECURITIES INC./CDS**	4801
RBC DOMINION SECURITIES INC./CDS**	5002
RF SECURITIES CLEARING L.P./CDS	5016
ROYAL BANK OF CANADA-ROYAL TRUST 1/CDS**	5044
ROYAL BANK OF CANADA-ROYAL TRUST/CDS**	4707
SCOTIA CAPITAL INC./CDS**	5011
SOCIETE GENERALE CAPITAL CANADA INC./CDS**	5003
TD WATERHOUSE CANADA INC./CDS**	5036
THE BANK OF NOVA SCOTIA/BNS TOR PRINCIPAL GLOSS/CDS	4810
THE BANK OF NOVA SCOTIA/BNS PRIME GLOSS/CDS	4700
THE BANK OF NOVA SCOTIA/ SCE LTD./CDS**	4814
THE BANK OF NOVA SCOTIA/CDS**	4812
THE BANK OF NOVA SCOTIA/CLIENT A	4816
THE BANK OF NOVA SCOTIA/CLIENT B/CDS**	4838
THE BANK OF NOVA SCOTIA/PRINCIPAL EQUITIES/CDS**	4794
THE BANK OF NOVA SCOTIA/SUB FIXED INCOME/IMPACT/CDS**	4795
TORONTO-DOMINION BANK (THE)**	4805
TORONTO-DOMINION BANK (THE)/TD GLOBAL FINANCE UNLIMITED COMPANY	4717
UBS SECURITIES CANADA INC./CDS**	5017
W.D. LATIMER CO LTD./CDS**	5078
CENTRAL TRUST BANK (THE)	2880
CETERA INVESTMENT SERVICES LLC	0701

CF SECURED, LLC	0794
CHARLES SCHWAB & CO., INC.	0164
CHARLES SCHWAB & CO., INC. STOCK LOAN CONDUIT ACCOUNT	7322
CHARLES SCHWAB & CO., INC./SCHWAB GLOBAL INVESTING ACCOUNT	7587
CHARLES SCHWAB TRUST BANK	2993
CIBC WORLD MARKETS CORP.	0438
CIBC WORLD MARKETS CORP./CIBC WORLD MARKETS CORP. REPO	7295
CITADEL CLEARING LLC	0395
CITADEL SECURITIES LLC	8430
CITIBANK, N.A.	0908
CITIBANK, N.A. - DEALER	0950
ADR-CITI	0953
CITIBANK/CP/IPA	1501
CITIBANK/THE CITIGROUP PRIVATE BANK/TRUST	2032
CITIBANK, N.A./ETF	2333
CITIBANK, N.A./CORPORATE AGENCY & TRUST	2426
CITIBANK NA/DEALER SAFEKEEPING	2562
CITIBANK, N.A./SEGREGATED LENDING	2658
CITIBANK, N.A. BOOK-ENTRY-ONLY MEDIUM TERM NOTE ACCOUNT	2790
CITIBANK N.A. LONDON/MTN	2952
CITIBANK, N.A./S.D. INDEVAL INSTITUCION PARA EL DEPOSITO DE VALORES, S.A. DE C.V.	3926
CITIBANK, N.A./PROJECT BLUE	3974
CITIBANK, N.A./PUERTO RICO IBE	4027
CITIBANK, N.A./CITIBANK MARGIN LOANS BRANCH	4327
CITIBANK N.A./PROPRIETARY ASSETS	8164
CITICORP SECURITIES SERVICES, INC.	0563
CITIGROUP GLOBAL MARKETS INC.	0505
CITIGROUP GLOBAL MARKETS INC./SALOMON BROTHERS	0274
CITIGROUP GLOBAL MARKETS, INC./CORRESPONDENT CLEARING	0418
CITIGROUP GLOBAL MARKETS INC./SALOMON BROTHERS/A.M.M.	5215
CLEARSTREAM BANKING AG	2000
CLEAR STREET LLC	9132
CLEAR STREET LLC/SECURITIES LENDING	4231
COMERICA BANK	2108
COMMERCE BANK	2170
COMMERZ MARKETS LLC	0126
COMMERZ MARKETS LLC/FIXED INC. REPO & COMM. PAPER	0033
COMPASS BANK	2483
COMPASS BANK/IPA	1563
COMPASS BANK/INVESTMENTS	7582
COMPUTERSHARE TRUST COMPANY, N.A.	2415
COMPUTERSHARE TRUST COMPANY, N.A./OPTIONS	2330
COMPUTERSHARE TRUST COMPANY, N.A./DRP	2586
COWEN AND COMPANY LLC	0100

COWEN AND COMPANY LLC/FULLY PAID FOR LENDING	0933
COWEN AND COMPANY LLC/STOCK LOAN CONDUIT	8185
COWEN AND COMPANY LLC/SUSQUEHANNA	8857
CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK	4024
CREDIT AGRICOLE SECURITIES (USA) INC	0651
CREDIT AGRICOLE SECURITIES (USA) INC/F/B/O CREDIT AGRICOLE NY BRANCH	7372
CREDIT AGRICOLE SECURITIES (USA) INC/STOCK LOAN CONDUIT	7540
CREDIT SUISSE AG - NEW YORK BRANCH	1587
CREDIT SUISSE AG-NEW YORK BRANCH/DTC I.D. CONFIRMATION	1006
CREDIT SUISSE SECURITIES (USA) LLC	0355
CREST INTERNATIONAL NOMINEES LIMITED	2012
CREWS & ASSOCIATES, INC.	5158
CSS, LLC	0670
CURVATURE SECURITIES, LLC	3598
D. A. DAVIDSON & CO.	0361
DAIWA CAPITAL MARKETS AMERICA INC.	0647
DAIWA CAPITAL MARKETS AMERICA INC./DASAC	7561
DAVENPORT & COMPANY LLC	0715
DEALERWEB INC.	8445
DEPOSITO CENTRAL DE VALORES S.A., DEPOSITO DE VALORES	2735
DEPOSITO CENTRAL DE VALORES S.A., DEPOSITO DE VALORES/BVE	4180
DESERET TRUST COMPANY	0958
DESERET TRUST COMPANY - D	2118
DESERET TRUST COMPANY - I	2497
DESERET TRUST COMPANY - A	8485
DEUTSCHE BANK AG, NEW YORK BRANCH	2481
DEUTSCHE BANK AG NY/US CUSTODY	2690
DEUTSCHE BANK SECURITIES INC.	0573
DEUTSCHE BANK SECURITIES INC.- STOCK LOAN	0032
DEUTSCHE BANK SECURITIES INC.-INTERNATIONAL STOCK LOAN	5162
DEUTSCHE BANK SECURITIES INC.-FIXED INCOME STOCK LOAN	5225
DEUTSCHE BANK TRUST COMPANY AMERICAS	1503
DBTC AMERICAS/CTAG/PUTS & DEMANDS	2041
DBTC AMERICAS/CTAG-GES	2655
DBTC AMERICAS/CTAG-CDFP	2808
E*TRADE BANK	2782
E*TRADE SAVINGS BANK	5981
E*TRADE SECURITIES LLC	0385
E*TRADE SECURITIES LLC/ETS SECURITIES LENDING	1051
E D & F MAN CAPITAL MARKETS INC.	8873

E D & F MAN CAPITAL MARKETS INC./ SECURITIES LENDING	3932
EDWARD D. JONES & CO.	0057
EUROCLEAR BANK SA/NV	1970
EUROCLEAR BANK SA/NV/IMS ACCOUNT	8451
DASH FINANCIAL TECHNOLOGIES LLC	0627
ELECTRONIC TRANSACTION CLEARING, INC.	0873
ELECTRONIC TRANSACTION CLEARING, INC./HOUSE	3821
FANNIE MAE	2306
FEDERAL HOME LOAN MORTGAGE CORPORATION	2391
FEDERAL HOME LOAN MORTGAGE CORPORATION/RETAINED	2068
FEDERAL HOME LOAN MORTGAGE CORPORATION/MULTIFAMILY	8846
FHN FINANCIAL SECURITIES CORP.	0202
FIDUCIARY TRUST COMPANY OF BOSTON	2126
FIFTH THIRD BANK, NATIONAL ASSOCIATION	2116
FIFTH THIRD BANK, NATIONAL ASSOCIATION/STATE TEACHERS RETIREMENT OF OHIO	2416
FIFTH THIRD BANK, NATIONAL ASSOCIATION/PUBLIC EMPLOYEES RETIREMENT SYSTEM	2975
FIRST HORIZON BANK	2445
FIRST TRUST PORTFOLIOS, L.P.	8244
FMSBONDS, INC.	5217
FOLIO INVESTMENTS, INC.	0728
FROST BANK	2053
FUTU CLEARING INC.	4272
GLENMEDE TRUST COMPANY, N.A. (THE)	2139
GOLDMAN SACHS & CO. LLC	0005
GOLDMAN SACHS & CO. LLC/ CME HOUSE COLLATERAL ACCT	4404
GOLDMAN SACHS & CO. LLC/IMS	8699
GOLDMAN SACHS BANK USA	2941
GOLDMAN SACHS BANK USA/GOLDMAN SACHS AGENCY LENDING	2660
GOLDMAN SACHS BANK USA/GS & CO. LLC FCM CLEARED SWAPS CUST OMNIBUS ACCT CFTC REG 22.2 CUST SEG ACCT	3944
GOLDMAN SACHS BANK USA/#2	8197
GOLDMAN, SACHS & CO./IMS	8699
GOLDMAN SACHS BANK USA/GS & CO. LLC FCM CUST OMNIBUS ACCT CFTC REG 1.20 CUST SEG ACCT UNDER SECTIONS	9180
GOLDMAN SACHS INTERNATIONAL	5208
GOLDMAN SACHS INTERNATIONAL/ GOLDMAN SACHS BANK EUROPE SE	4056
GUGGENHEIM FUNDS DISTRIBUTORS, LLC.	0526
GUGGENHEIM SECURITIES, LLC	0181
HILLTOP SECURITIES INC.	0279
HOLD BROTHERS CAPITAL LLC	0430

HOME FEDERAL BANK OF TENNESSEE, F.S.B.	2425
HOME FEDERAL BANK/HOME FINANCIAL SERVICES, INC.	2447
HOME FEDERAL BANK/HF PORTFOLIO	2533
HOME FEDERAL BANK OF TENNESSEE, FSB/TRUST DEPARTMENT CUSTOMERS	2534
HONG KONG SECURITIES CLEARING COMPANY LIMITED	2338
HRT FINANCIAL LLC	0369
HSBC BANK USA, NATIONAL ASSOCIATION	2165
HSBC BANK USA, NATIONAL ASSOCIATION/IPA	1544
HSBC BANK USA, NA/HSBC CUSTODY & CLEARING SERVICES FOR STOCK LOAN & BORROW	1950
HSBC BANK USA, N.A.-IPB	2122
HSBC BANK USA, NATIONAL ASSOCIATION/OMNIBUS	2393
HSBC BANK USA, N.A./CORPORATE TRUST IPA	2894
HSBC BANK USA, NA/HTM	8402
HSBC BANK USA, NA/AFS	8404
HSBC BANK USA, NA/CLEARING	8396
HSBC SECURITIES (USA) INC.	0816
HSBC SECURITIES (USA) INC. (FIXED INCOME)	0486
ICAP CORPORATES LLC	0148
ICAP CORPORATES LLC/CROSSTRIDE	8456
ICE SECURITIES EXECUTION & CLEARING, LLC	4264
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES, LLC	0824
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES, LLC/CLEARANCE	0388
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC/ CLEARING	2667
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC/ SECURITIES LENDING	7583
ING FINANCIAL MARKETS LLC	0270
ING FINANCIAL MARKETS LLC/INTERNATIONAL	5104
ING FINANCIAL MARKETS LLC/INTERNATIONAL EQUITY FINANCE	5268
ING FINANCIAL MARKETS LLC/INTERNATIONAL EQUITY FINANCE MATCH BOOK ACCOUNT	7273
ING FINANCIAL MARKETS LLC/GLOBAL SECURITIES FINANCE NON-PURPOSE	7595
INGALLS & SNYDER, LLC	0124
INSTINET, LLC	0067
INSTINET, LLC/STOCK LOAN	7276
INTERACTIVE BROKERS LLC	0017
INTERACTIVE BROKERS RETAIL EQUITY CLEARING	0534
INTESA SANPAOLO IMI SECURITIES CORP.	0136
INTL FCSTONE FINANCIAL INC.	0750
INTL FCSTONE FINANCIAL INC./BD RATES	1944
INTL FCSTONE FINANCIAL INC./STOCK LOAN	8870
INVESCO CAPITAL MARKETS, INC.	0692
ITAU BBA USA SECURITIES, INC.	8113
J.P. MORGAN SECURITIES LLC	0187
J.P. MORGAN SECURITIES LLC/JPMC	0352
J.P. MORGAN SECURITIES LLC/JPMC LENDING	5213

JANE STREET CAPITAL, LLC	8497
JANNEY MONTGOMERY SCOTT LLC	0374
JANNEY MONTGOMERY SCOTT LLC/STOCK LOAN	7320
JAPAN SECURITIES DEPOSITORY CENTER, INC.	5600
JEFFERIES LLC	0019
JEFFERIES LLC/JEFFERIES EXECUTION SERVICES, INC./SERVICE BUREAU	0536
JEFFERIES LLC/AS AGENT FOR JEFFERIES INTERNATIONAL LONDON	7441
JEFFERIES LLC/SECURITIES FINANCE	7565
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION	0902
JPMORGAN CHASE BANK - ADR	0923
JPMORGAN CHASE BANK/CHEMICAL/COMMERCIAL PAPER/IPA	1506
JPMORGAN CHASE BANK/J.P.MORGAN CHASE & CO./CERTIFICATE OF DEPOSIT/IPA	1573
JP MORGAN CHASE/JP MORGAN INTERNATIONAL	2035
JPMORGAN CHASE BANK/CORRESPONDENCE CLEARING SERVICES 2	2164
JPMORGAN CHASE BANK NA/DBTC AMERICAS/DEUTSCHE BANK AG (LONDON BRANCH)	2312
JPMORGAN CHASE BANK NA/DBTC AMERICAS/DB UK BANK LIMITED	2314
JPMORGAN CHASE-ADR MAX	2334
JPMORGAN CHASE BANK/IA	2357
JPMORGAN CHASE BANK/JPMORGAN PPB	2379
JPMORGAN CHASE BANK, N.A./CUSTODIAL TRUST COMPANY	2424
JPMORGAN CHASE BANK/VANGUARD LOANET	2433
JPMORGAN CHASE BANK/GNPH MIDDLE MARKET	2434
JPMORGAN CHASE BANK/CORPORATE MUNICIPAL DEALER	2508
JPMORGAN CHASE BANK/PRUDENTIAL	2517
JPMORGAN CHASE BANK/TREASURER OF STATE OF OHIO BWC	2609
JPMORGAN CHASE/US EQ TRP	2612
JPMORGAN CHASE BANK,N.A./JPMORGAN CHASE FUNDING INC.	2668
JPMORGAN CHASE BANK/MUNICIPAL DEALER	2773
JPMORGAN CHASE BANK/AG DEPOSITARY BANK	2865
JPMORGAN CHASE-FIMAT CU	2945
JPMORGAN CHASE BANK/MET LIFE LOANET	2973
J.P. MORGAN CHASE BANK NA/FBO BLACKROCK CTF	3622
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/INTERMEDIARY HOLDING COMPANY	3884
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/FBO TEMASEK INTERNATIONAL PTE LTD	3915
JPMORGAN CHASE BANK, N.A./JPMORGAN CHASE HOLDINGS LLC	4101
JPMORGAN CHASE BANK, N.A./CHIEF INVESTMENT OFFICE 4	4102
JPMORGAN CHASE BANK/OHIO POLICE AND FIRE PENSION FUND	8112
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/PUBLIC EMPLOYEE RETIREMENT SYTEM OF OHIO (OPERS)	8187
JPMCB/DNT ASSET TRUST	8447
JPMCB/J.P. MORGAN SECURITIES CANADA INC.	8449
JPMORGAN CHASE BANK/JP MORGAN PROPRIETARY ASSET ACCOUNT	8861
JPMORGAN CHASE BANK/CHIEF INVESTMENT OFFICE	8867
JPMORGAN CHASE BANK/CHIEF INVESTMENT OFFICE 2	8869
JPMORGAN CHASE BANK/CHIEF INVESTMENT OFFICE 3	8871
KEYBANK NATIONAL ASSOCIATION	2205
KEYBANK SAFEKEEPING	0557
KEYBANK NA/FBO TREASURER OF STATE OF OHIO	2769
KOONCE SECURITIES LLC	0712
LAKESIDE BANK	2545
LEK SECURITIES CORPORATION	0512
LPL FINANCIAL LLC	0075

MACQUARIE CAPITAL (USA) INC.	0114
MANUFACTURERS AND TRADERS TRUST COMPANY	0990
MANUFACTURERS AND TRADERS TRUST COMPANY/COMMERCIAL LOANS	1121
MANUFACTURERS AND TRADERS TRUST CO/WILMINGTON TRUST/IPA	1507
MANUFACTURERS AND TRADERS TRUST COMPANY/IPA	1545
MANUFACTURERS AND TRADERS TRUST COMPANY/BANK PORTFOLIO	2382
MARKETAXESS CORPORATION	4265
MARSCO INVESTMENT CORPORATION	0287
MATRIX TRUST COMPANY	5954
MERRILL LYNCH PROFESSIONAL CLEARING CORP.	0551
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	0671
MERRILL LYNCH PIERCE FENNER & SMITH INC.-MLIM GLOBAL SECURITIES FINANCING INTERNATIONAL	7305
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED/8862 MLPF&S TS SUB	8862
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED/STOCK LOAN	6582
BOFA SECURITIES, INC.	0161
BOFA SECURITIES, INC./FIXED INCOME	0773
BOFA SECURITIES, INC./SECURITIES LENDING	5143
BOFA SECURITIES, INC./FOREIGN SECURITY LENDING	5176
BOFA SECURITIES, INC./SAFEKEEPING	5198
BOFA SECURITIES, INC./STOCK LOAN HEDGE ACCOUNT	7560
MID ATLANTIC TRUST COMPANY	8150
MIRAE ASSET SECURITIES (USA), INC.	1043
MIRAE ASSET SECURITIES (USA) INC./STOCK LOAN CONDUIT ACCOUNT	1385
MITSUBISHI UFJ TRUST & BANKING CORPORATION, NEW YORK BRANCH	2932
MITSUBISHI UFJ TRUST & BANKING CORPORATION, NEW YORK BRANCH/AFFILIATE	2037
MITSUBISHI UFJ TRUST & BANKING CORPORATION, NEW YORK BRANCH/STOCK LOAN	2570
MIZUHO BANK, LTD. NEW YORK BRANCH	2539
MIZUHO BANK LTD. NEW YORK BRANCH/IPA	1577
MIZUHO BANK (USA)	2888
MIZUHO BANK (USA)/SECURITY LENDING	2492
MIZUHO SECURITIES USA LLC	0892
MIZUHO SECURITIES USA LLC/SECURITIES FINANCE	2161
MIZUHO SECURITIES USA LLC/FIXED INCOME	2396
MIZUHO SECURITIES USA LLC/MIZUHO MARKETS CAYMAN	3670
MIZUHO SECURITIES USA LLC/MIZUHO MUNICIPAL HOLDINGS, LLC	4467
MIZUHO SECURITIES USA LLC/MIZUHO MARKETS AMERICA	5903
MIZUHO SECURITIES USA LLC/MIZUHO CAPITAL MARKETS LLC	7380
MONTE TITOLI - S.P.A.	2008
MORGAN STANLEY & CO. INTERNATIONAL PLC	7309
MORGAN STANLEY & CO. INTERNATIONAL PLC/MORGAN STANLEY BANK A.G	3935
MORGAN STANLEY & CO. LLC	0050
MORGAN STANLEY & CO. LLC/SL CONDUIT	0101
MORGAN STANLEY & CO. LLC/II	5127
MORGAN STANLEY & CO. LLC/III	5224
MORGAN STANLEY & CO. LLC/OTC DERIVATIVE MARGIN COLLATERAL	1821

MORGAN STANLEY BANK, N.A.	2187
MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION	2267
MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION/#2	2522
MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION/ MSPBNA CIO	4209
MORGAN STANLEY SMITH BARNEY LLC	0015
MORGAN STANLEY SMITH BARNEY LLC/SL CONDUIT	8875
MUFG SECURITIES AMERICAS INC.	0076
MUFG SECURITIES AMERICAS INC./STOCK LOAN	2075
MUFG UNION BANK, N.A.	2145
MUFG UNION BANK, N.A./MMI/PIMS/IPA	1607
MUFG UNION BANK, N.A./CAPITAL MARKETS	2851
MURIEL SIEBERT & CO., INC.	0445
MURIEL SIEBERT & CO., INC./#2	8513
NASDAQ BX, INC.	0163
NASDAQ EXECUTION SERVICES, LLC	0568
NASDAQ EXECUTION SERVICES LLC/OPTIONS	0520
NASDAQ PHLX LLC	0237
NATIONAL BANK OF CANADA FINANCIAL INC.	8353
NATIONAL FINANCIAL SERVICES LLC	0226
NATIONAL FINANCIAL SERVICES/ FIDELITY AGENCY LENDING	3951
NATIONAL FINANCIAL SERVICES LLC/STOCK LOAN	5157
NATIXIS SECURITIES AMERICAS LLC	0031
NATWEST MARKETS SECURITIES INC.	425
NATWEST MARKETS SECURITIES INC./FIXED INCOME	5263
NOMURA SECURITIES INTERNATIONAL, INC.	0180
NSI STOCK LENDING	5180
NOMURA SECURITIES/FIXED INCOME	5222
NOMURA SECURITIES INTERNATIONAL, INC./AFFILIATE CLEARING	7507
NUVEEN SECURITIES, LLC	0448
NYSE ARCA, INC.	5300
OPPENHEIMER & CO. INC.	0571
OPTIONS CLEARING CORPORATION (THE)	0981
OCC INTERNAL NON-PROPRIETARY CROSS MARGIN CFTC 1.20 FUTURES CUSTOMER SEGREGATED OMNIBUS ACCOUNT	0912
OCC CFTC 1.20 FUTURES CUSTOMER SEGREGATED MARGIN OMNIBUS ACCOUNT	0939
THE OPTIONS CLEARING CORPORATION/OCC MARKET LOAN PROGRAM ACCOUNT - AQS	0982
PAXOS TRUST COMPANY, LLC	5855
PERSHING LLC	0443
PERSHING LLC/CLIENT FINANCING	1030
PERSHING LLC/SL	5163
PERSHING LLC/SL INT'L	5196

PHILLIP CAPITAL INC.	8460
PHILLIP CAPITAL INC./STOCK LOAN	0046
PNC BANK, NATIONAL ASSOCIATION	2616
PNC BANK, N.A./IPA	1515
PNC BANK, N.A./OTTA	2065
PNC BANK/PNC MUNICIPAL STRATEGY - BLK	2166
PNC BANK, N.A./PNC CAPITAL MARKETS LLC MSFTA	2167
PNC BANK, N.A./PITTSBURGH	2834
PNC BANK N.A./PNC CAPITAL MARKETS LLC	2835
PNC BANK, N.A./HPRS	2937
PRECISION SECURITIES, LLC	8858
RAYMOND JAMES & ASSOCIATES, INC.	0725
RAYMOND JAMES & ASSOCIATES, INC/FI	0390
RJ DEALER STOCK LOAN	0594
RAYMOND JAMES & ASSOCIATES, INC./RAYMOND JAMES TRUST COMPANY	5179
RAYMOND JAMES & ASSOCIATES, INC / RAYMOND JAMES BANK	7568
RBC CAPITAL MARKETS, LLC	0235
RBC CAPITAL MARKETS, LLC/RBCCM	7408
REGIONS BANK	4204
REGIONS BANK/IPA	1571
REGIONS BANK/CORPORATE TRUST OPS/IPA	1749
RELIANCE TRUST COMPANY	5962
RELIANCE TRUST COMPANY/SWMS1	2042
RELIANCE TRUST COMPANY/SWMS2	2085
RELIANCE TRUST COMPANY/FIS TRUSTDESK MKE	5409
RELIANCE TRUST COMPANY/FIS GLOBAL PLUS	7381
RELIANCE TRUST COMPANY/FIS TRUSTDESK	8434
ROBERT W. BAIRD & CO. INCORPORATED	0547
ROBINHOOD SECURITIES, LLC	6769
ROBINHOOD SECURITIES, LLC/ SECURITIES LENDING	3691
SAFRA SECURITIES LLC	8457
SANFORD C. BERNSTEIN & CO., LLC	0013
SCOTIA CAPITAL (USA) INC.	0096
SCOTIA CAPITAL (USA) INC./AS AGENT FOR THE BANK OF NOVA SCOTIA,TORONTO BRANCH	4254
SCOTIA CAPITAL (USA) INC./STOCK LOAN	8118
SCOTIA CAPITAL (USA) INC./INTERNATIONAL STOCK LOAN	8119
S.D. INDEVAL INSTITUCION PARA EL DEPOSITO DE VALORES S.A. DE C.V.	8020
SECURITIES FINANCE TRUST COMPANY	2047
SEI PRIVATE TRUST COMPANY	2039
SEI PRIVATE TRUST COMPANY/C/O GWP	2663
SG AMERICAS SECURITIES, LLC	0286
SG Americas Securities LLC/Sub 608	0608
SG AMERICAS SECURITIES LLC/PARIS CLEARING	8459
SOCIETE GENERALE, NEW YORK BRANCH	1546
SOCIETE GENERALE NY/SOCIETE GENERALE PARIS	2680

SOUTH STATE BANK, NATIONAL ASSOCIATION	0587
SOUTH STREET SECURITIES LLC	7451
SOUTH STREET SECURITIES LLC/IMS	4006
STATE STREET BANK AND TRUST COMPANY	0997
FIDUCIARY SSB	0987
STATE STREET BANK AND TRUST COMPANY/IPA	1526
SSB-PHYSICAL CUSTODY SERVICES	2193
SSB - TRUST CUSTODY	2319
STATE STREET BANK & TRUST COMPANY / ISHARES EUROPE	2375
STATE STREET BANK AND TRUST COMPANY/DEUTSCHE BANK FRANKFURT	2399
SSB - BANK PORTFOLIO	2436
STATE STREET BANK AND TRUST COMPANY/DB RESIDUAL PROCESSING ACCOUNT	2546
SSB&T/SEC FIN AS PRINCIPAL	2625
SSB&T CO/CLIENT CUSTODY SERVICES	2678
SSB - BLACKROCK INSTITUTIONAL TRUST	2767
STATE STREET BANK & TRUST/STATE STREET TOTALETf	2950
STATE STREET BANK & TRUST COMPANY/LENDING PASS-THROUGH	7268
STATE STREET BANK & TRUST COMPANY/EC, GMBH	8147
STATE STREET BANK AND TRUST COMPANY, N.A.	2386
STATE STREET GLOBAL MARKETS, LLC	0189
STIFEL, NICOLAUS & COMPANY, INCORPORATED	0793
STIFEL, NICOLAUS & COMPANY, INC./STIFEL SECURITIES LENDING	8385
SUMITOMO MITSUI TRUST BANK (U.S.A.) LIMITED	2779
SYNOVUS BANK	2578
SYNOVUS BANK/SYNOVUS 2	2579
TD AMERITRADE CLEARING, INC.	0188
TD AMERITRADE CLEARING, INC./SECURITIES LENDING	5298
TD PRIME SERVICES LLC	0284
TD PRIME SERVICES LLC/STOCK LOAN	7578
TD SECURITIES (USA) LLC	2568
TD SECURITIES (USA) LLC/TD BANK	2491
TD SECURITIES (USA) LLC/ TDB UNENCUMBERED	2679
TD SECURITIES (USA) LLC/ TD NY	2683
TD SECURITIES (USA) LLC/PHYSICAL ACCOUNT	4215
TEMPER OF THE TIMES INVESTOR SERVICES, INC.	5175
TEXAS TREASURY SAFEKEEPING TRUST COMPANY	2622
TEXAS TREASURY SAFEKEEPING TRUST COMPANY/IPA	1584
TEXAS TREASURY SAFEKEEPING TRUST COMPANY/NUP	8486
THE BANK OF NEW YORK MELLON	0901
THE BANK OF NEW YORK MELLON/MELLON TRUST OF NEW ENGLAND, NATIONAL ASSOCIATION	0954
BNYMELLON/RE ETF - UIT DTC/NSCC 0963	0963
BNYMELLON/RE DE SHAW US BROAD MC ALP E PORT LLC	1414
BNYMELLON/RE DE SHAW US L CAP C ALP EX PORT LLC	1416
BNYMELLON/RE NAB MELBOURNE	1419
BNYMELLON/RE DE SHAW BMCAE SPECIAL FUND LP	1420
BNYMELLON/RE DES US BMC ALP E S PORT II MA LLC	1421
THE BANK OF NEW YORK MELLON/IPA	1541

BNYMELLON/RE ROYAL BANK OF CANADA	1603
BNYMELLON/DEDICATED PARTICIPANT #82	1724
BNYMELLON/DEDICATED PARTICIPANT #83	1951
THE BANK OF NEW YORK MELLON/HH ELLINGTON MASTER FUND LTD	1961
BNYMELLON/RE BGC BROKERS LP	2002
THE BANK OF NEW YORK MELLON/HBK GLOBAL SECURITIES LP	2022
THE BANK OF NEW YORK MELLON/FMSBONDS, INC.	2023
BNYMELLON/RE BNYMSANV RE FIRM	2026
THE BANK OF NEW YORK MELLON/HBK MASTER FUND LP	2046
BNYMELLON/RE DBTCA-DB AG LDN PB - CLIENT ACCOUNT	2057
BNYMELLON/RE DBTCA/DB AG LDN PB-DEUTSCHE BANK NY	2059
BNYMELLON/RE BNP PARIBAS TRI-PARTY ACCOUNT	2070
BNYMELLON/RE BNYMSANVAMS RE FIRM LAB	2074
BNYMELLON/RE DBTCA/DB AG LDN PB CHEYNE VALUE FUND LP	2079
BNYMELLON/RE DB AG LON PB POLGON GL OP M/FD	2090
BNYMELLON/RE RABOBANK INTERNATIONAL UNEF	2091
BNYMELLON/RE SUNTRUST BANK	2093
BNYMELLON/RE SUNTRUST BANK PORTFOLIO	2100
BNYMELLON/RE BARCLAYS CAPITAL SECURITIES LTD.	2103
BNYMELLON/RE ALLSTATE MARK TO MARKETS	2106
THE BANK OF NEW YORK MELLON/COMMERCIAL LOANS	2107
BNYMELLON/RE ICBC STANDARD BANK PLC	2109
BNYMELLON/RE NOMURA PB NOMINEES LTD	2131
THE BANK OF NEW YORK MELLON/IVORS	2136
THE BANK OF NEW YORK MELLON/ELLINGTON SPECIAL OPPORTUNITIES FUND, LTD.	2138
BNYMELLON/RE FIRM INVESTMENT ACCOUNT	2151
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK LONDON AG LONDON/GLOBAL MARKET #2	2155
BNYMELLON/RE DBTCA/DB AG LDN PB MULTI SEG CLEARANCE	2156
BNYMELLON/RE DBTCA/DB AG LDN B CHEYNE SPEC'L SIT FD	2160
BNYMELLON/RE D E SHAW HELIANT CAPITAL LLC	2169
THE BANK OF NEW YORK MELLON/CDC MORTGAGE CAPITAL INC.	2176
THE BANK OF NEW YORK MELLON/BARCLAYS BANK PLC	2196
BANK NEW YORK MELLON/FIRM ITC-INVESTMENT DEALER	2206
BNYMELLON/RE RABOBANK INTERNATIONAL LONDON EQUITY FINANCE	2207
BNYMELLON/RE MIDCAP SPDRS	2209
THE BANK OF NEW YORK MELLON/EF SECURITIES LLC	2220
THE BANK OF NEW YORK MELLON/NATIXIS FINANCIAL PRODUCTS INC	2224
THE BANK OF NEW YORK MELLON/E-TRADE BANK	2225
BNYMELLON/RE NATIXIS FIXED INCOME	2243
BNYMELLON/RE NATIXIS	2244
BNY MELLON/NOMURA INT'L PLC REPO	2281
BNYMELLON/RE ING BANK NV LONDON BRANCH	2307
BNYMELLON/RE BOA NA	2308
BNYMELLON/RE BARCLAYS BANK PLC - PLEDGE ACCOUNT	2324
BNY MELLON/ANWORTH MORTGAGE ASSET CORP.	2328
BNYMELLON/RE CHARLES STANLEY AND COMPANY, LIMITED	2336
BNYMELLON/RE ITC - DEALERS CLEARANCE SPECIAL	2339
THE BANK OF NEW YORK MELLON/ELLINGTON MORTGAGE FUND SC, LTD.	2342
BNYMELLON/RE HSBC BANK PLC PARIS BRANCH	2359
BNYMELLON/RE FIRM SECURITIES FINANCE	2361
THE BANK OF NEW YORK MELLON/CRESCENT II FUND L.P.	2362
BNYMELLON/RE HSBC BANK PLC EQD USBR	2363
BNYMELLON/RE BARCLAYS CAP SEC LTD PB SEG 1	2366
BNYMELLON/RE BARCLAYS CAP SEC LTD PB SEG 2	2367
BNYMELLON/RE FIRM TRADE INS	2381
THE BANK OF NEW YORK MELLON/ELLINGTON MORTGAGE OPPORTUNITIES MASTER FUND LTD.	2417
THE BANK OF NEW YORK MELLON / BOFA SECURITIES INC	2427
BNYMELLON/RE DBTC AMERICAS/DEUTSCHE BANK LONDON PRIME	2428
BNYMELLON/RE CACEIS BANK S.A	2443
THE BANK OF NEW YORK MELLON/FSA	2444
BNYMELLON/RE DBTC AMERICAS/DEUTSCHE BK LONDON PRIME SEG 15/00	2452

BNYMELLON/RE DBTC/DEUTSCHE BK LONDON PRIME SEG 1	2453
BNYMELLON/RE DBTC/DEUTSCHE BK LONDON PRIME SEG 2	2454
BNYMELLON/RE HSBC BANK PLC	2462
BNYMELLON/RE DEUTSCHE BANK AG FRANKFURT	2468
THE BANK OF NEW YORK MELLON/MIZUHO BANK LTD.	2469
BNYMELLON/RE DR CUSTODY ACCOUNT	2472
BNYMELLON/RE MILLENNIUM PARTNERS	2474
THE BANK OF NEW YORK MELLON/ANNALY COMMERCIAL REAL ESTATE GR.	2475
THE BANK OF NEW YORK MELLON/DBAG LONDON GLOBAL MARKETS (CLIENT ACCT)	2478
THE BANK OF NEW YORK MELLON/DBAG FRANKFURT GLOBAL MARKET	2479
THE BANK OF NEW YORK MELLON/DBAG LONDON GLOBAL MARKET	2485
BNYMELLON/RE RABOBANK UTRECHT FIXED INCOME	2486
BNYMELLON/RE DBAG PB UCITS CLIENTS	2488
BNYMELLON/RE RABOBANK INT'L UTRECHT EQUITY FIN	2490
BNYMELLON/RE BOA SECURITIES LTD. (BASL)	2494
BNYMELLON/RE BARCLAYS PAYMENT SOLUTIONS INC	2496
BNYMELLON/RE NOMURA CL SETT NOM LTD	2499
THE BANK OF NEW YORK MELLON/ANNALY MORTGAGE	2502
BNYMELLON/RE DEPOSITARY RECEIPT SERVICES/MERRILL LYNCH REDEM.	2504
BNYMELLON/RE THE PRUDENTIAL INVESTMENT	2510
BNYMELLON/RE DAVY SECURITIES LIMITED	2553
THE BANK OF NEW YORK MELLON/BAKERGROUP	2565
BNYMELLON/RE ANCHORAGE CAPITAL	2566
BNYMELLON/RE RABOBANK INTERNATIONAL NY	2573
BNYMELLON/RE DEUTSCHE BANK AG LONDON PRIME BROKERAGE	2582
BNYMELLON/RE BANCO SANTANDER SLB	2590
BNYMELLON/RE FIRM INVESTMENT PORTFOLIO	2595
BNYMELLON/RE CACEIS BANK DEUTSCHLAND GMBH	2598
BNYMELLON/RE TRADITION LONDON CLEARING LTD.	2601
BNYMELLON/RE MIZUHO INTERNATIONAL	2621
BNYMELLON/RE AIG	2630
BNYMELLON/RE GOV & CO BANK OF ENGLAND	2634
BNYMELLON/RE BARCLAYS CAPITAL INC.	2641
BNYMELLON/RE GLOBAL PRIME PARTNERS	2648
BNYMELLON/RE RABO CAPITAL SERVICES	2677
THE BANK OF NEW YORK MELLON/ITC-DEALERS CLEARANCE GENERAL	2681
BNYMELLON/RE DE SHAW & CO.	2709
BNYMELLON/RE ICAP LONDON	2711
BNYMELLON/RE NATIONAL BANK OF AUSTRALIA	2714
BNYMELLON/RE D.E. SHAW KALON PORTFOLIOS, L.L.C.	2716
BNYMELLON/RE NOMURA CNS NOM RE: TFS DER	2718
BNYMELLON/RE DBLPB-DBX RISK ARBITAGE 8 FUND	2719
BNYMELLON/RE BARCLAYS BK PLC-BARC LUX SARL A/C 1	2720
BNYMELLON/RE BARCLAYS BK PLC-BARC LUX SARL A/C 2	2721
BNYMELLON/RE DBLPB-CENTAURUS PROXIMA FD	2724
BNYMELLON/RE DBLPB-DBX-ASIAN L/S EQUITY 2 FUND	2726
BNYMELLON/RE SCULPTOR CAPITAL MANAGEMENT	2731
THE BANK OF NEW YORK MELLON/ELLINGTON CREDIT OPPORTUNITIES LTD.	2776
THE BANK OF NEW YORK MELLON/ST. BERNARD OPPORTUNITY FUND 1, LTD.	2784
BNYMELLON/RE MILLENNIUM FIXED INCOME LTD	2785
BNYMELLON/RE BBPLC PB CAYMEN CLIENTS	2802
BNYMELLON/RE BBPLC PB CANADIAN CLIENTS	2825
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK AG	2828
BNYMELLON/RE HSBC BANK PLC	2830
BNYMELLON/RE SMBC NIKKO SECURITIES AMERICA INC	2838
THE BANK OF NEW YORK MELLON/EF MORTGAGE, LLC	2841
THE BANK OF NEW YORK MELLON/EF CMO, LLC	2842
BNYMELLON/RE SMBC NIKKO CAPITAL MARKETS LTD	2843
BNYMELLON/RE BBPLC PB UK CLIENTS	2844
BNYMELLON/RE (AG) DESHAW OCULUS PORT LLC.PLGCOLL AC	2846
BNYMELLON/RE FIRM SMPT ASSETS	2858

BNYMELLON/RE FIRM SECURED FINANCE	2868
THE BANK OF NEW YORK MELLON/CHIMERA INVESTMENT CORPORATION	2874
THE BANK OF NEW YORK MELLON/CHIMERA RMBS WHOLE POOL LLC (F/K/A CIM ASSET HOLDING)	2875
THE BANK OF NEW YORK MELLON/CHIMERA RMBS LLC (F/K/A CIM HOLDING)	2893
THE BANK OF NEW YORK MELLON/CHIMERA SECURITIES HOLDING LLC	2899
THE BANK OF NEW YORK MELLON/CHIMERA SPECIAL HOLDING LLC	2901
THE BANK OF NEW YORK MELLON/BAKER2	2903
THE BANK OF NEW YORK MELLON/CHIMERA TRADING COMPANY LLC	2906
BNYMELLON/RE ANZ MELBOURNE	2908
BNYMELLON/RE BARCLAYS BANK IRELAND TREASURY ACCT	2909
BNYMELLON/RE ELLINGTON SPECIAL RELATIVE VALUE FUND LLC	2912
BNYMELLON/RE HSBC FRANCE	2919
THE BANK OF NEW YORK MELLON/NATIXIS SECURITIES AMERICAS LLC	2920
BNYMELLON/RE BARCLAYS BANK IRELAND	2922
BNYMELLON/RE BNYMSANVFFT RE FIRM	2926
BNYMELLON/RE BARCLAYS BANK PLC	2931
THE BANK OF NEW YORK MELLON/VINNING SPARKS, IBG, L.P.	2940
BNYMELLON/RE BARCLAYS BANK IRELAND PLC F	2947
BNYMELLON/RE MIZUHO SECURITIES EUROPE GMBH	2948
BNYMELLON/RE RBC I&TS	2985
THE BANK OF NEW YORK MELLON/WFC HOLDINGS CORPORATION	3516
BNYMELLON/RE NORDEA DK/SEC FINANCE SE	3593
BNYMELLON/NOMURA FINANCIAL PRODUCTS EUROPE GMBH	3916
BNYMELLON/RE RBC CAPITAL MARKETS (EUROPE) GMBH	3967
BNYMELLON/RE HH ELLINGTON MASTER FUND II LTD	3968
BNYMELLON/RE FIRM SAM NA	3970
BNYMELLON/RE BARCLAYS BANK DELAWARE	3972
BNYMELLON/RE SMBC CAPITAL MARKETS FRANKFURT	3973
BNYMELLON/RE FIRM NA	4084
BNYMELLON/RE LME CLEAR	4085
BNYMELLON/RE ELLINGTON MRTG RECOVERY MASTER F LP	4086
BNYMELLON/RE BCSL PB SEG AC 5	4087
BNYMELLON/RE ARBEJDSMARKEDETS TILLAEGSPENSION	4088
BNYMELLON/RE HSBC UK BANK PLC	4341
BNYMELLON/RE NM PERA ELLINGTON ENHANCED INCOME FUND A LLC	4462
BNYMELLON/RE FIRM RE SANV	4556
BNYMELLON/RE ANZ BANKING GROUP LIMITED	6501
BNYMELLON/RE HSBC BANK HONG KONG	7387
BNYMELLON/WFB.NA WELLS FARGO BANK NA PI	8043
BNYMELLON/WF & CO WELLS FARGO & COMPANY	8077
BNYMELLON/RE FIRM BORROW PLUS	8107
THE BANK OF NEW YORK MELLON/EARN SECURITIES LLC	8115
THE BANK OF NEW YORK MELLON/EARN CMO LLC	8116
THE BANK OF NEW YORK MELLON/EARN MORTGAGE LLC	8117
THE BANK OF NEW YORK MELLON/NOMURA FIN. PRODUCTS & SERVICES INC.	8123
BNYMELLON/RE FIRM HOLDING CO.	8132
BNYMELLON/RE BNYMSANVLB RE FIRM	8134
BNYMELLON/RE BBPLC F LRCM REPO	8139
BNYMELLON/WEALTH MANAGEMENT	8275
BNYMELLON/RE BNYMLB RE FIRM SF	8310
BNYMELLON/RE BNYMLB RE FIRM	8311
BNYMELLON/RE CACEIS BANK	8313
BNYMELLON/RE CBD BAADER AG	8314
BNYMELLON/RE CBD STEUBING AG	8317
BNYMELLON/RE WINTERFLOOD SECURITIES LTD	8318
BNYMELLON/RE CACEIS BANK LUXEMBOURG	8320
BNYMELLON/RE GFI SECURITIES LTD	8321
BNYMELLON/RE UIT NSCC CNS CLEARANCE	8355
BNYM/EF CORPORATE HOLDINGS LLC	8412
BNYMELLON/RE BB RE FIRM	8414
BNYMELLON/RE FFT RE FIRM	8417

BNYMELLON/RE NA-BANK CUSTODY	8420
BNYMELLON/RE BNYMIL FIRM	8421
BNYMELLON/RE RABOBANK LONDONBRANCH FIXED INCOME	8423
BNYMELLON/RE CBD ICF BANK AG	8471
BNYMELLON/RE RBC BARBADOS	8472
BNYMELLON/RE RBCEL FIXED INCOME	8475
BNYMELLON/RE BARCLAYS BANK PLC LONDON	8476
BNYMELLON/RE BBPLC CLIENT COLL SEC LNBR SEG	8478
BNYMELLON/RE RBCLB EQUITY FINANCE	8479
BNYMELLON/RE BARCLAYS OVERSIGHT MANAGEMENT INC	8481
BNYMELLON/RE RBCLB FIXED INCOME	8482
BNYMELLON/RE BGC FINANCIAL LP M/M	8483
BNYMELLON/RE RBCEL EQUITY FINANCE	8487
BNYMELLON/RE COOPERATIEVE RABOBANK U.A.	8488
BNYMELLON/RE GCM CLIENT ACCOUNTS	8489
BNYMELLON/RE RBC BAHAMAS BRANCH	8490
BNYMELLON/RE DESHAW US BMCAE CUSTOM PORT L.L.C	8491
THE BANK OF NEW YORK MELLON TRUST COMPANY, NATIONAL ASSOCIATION	2438
THE BANK OF NOVA SCOTIA, NEW YORK AGENCY	2347
BANK OF NOVA SCOTIA, NEW YORK AGENCY/IPA (THE)	1542
THE BANK OF NOVA SCOTIA, NEW YORK AGENCY/RATES DESK	2531
THE CENTRAL DEPOSITORY (PTE) LIMITED	5700
THE HUNTINGTON NATIONAL BANK	2305
HUNTINGTON NATIONAL BANK/IPA	1562
HUNTINGTON NATIONAL BANK/FBO OHIO POLICE AND PENSION FUND	2219
HUNTINGTON NATIONAL BANK/FBO SCHOOL EMPLOYEE RETIREMENT SYSTEM OF OHIO	2898
THE NASDAQ STOCK MARKET LLC	0734
NASDAQ STOCK MARKET LLC/OMNIBUS ACCOUNT	0759
THE NORTHERN TRUST COMPANY	2669
NORTHERN TRUST COMPANY/IPA	1560
NORTHERN TRUST COMPANY - SAFEKEEPING	2684
NORTHERN TRUST COMPANY/FUTURE FUND ACCOUNTS	2778
THE TEL-AVIV STOCK EXCHANGE CLEARING HOUSE LTD	2015
TIMBER HILL LLC	0549
TRADEBOT SYSTEMS, INC.	0083
TRADELINK SECURITIES LLC	4230
TRADESTATION SECURITIES, INC.	0271
TRADESTATION SECURITIES, INC./TRADESTATION SECURITIES, INC.	8035
TRADITION SECURITIES & DERIVATIVES INC.	0370
TRUIST BANK	2971
TRUIST BANK/CT CF IPA	1518
TRUIST BANK/SUNTRUST BANK RETAIL	2114
TRUIST BANK/SUNTRUST BANK DEALER	2262
TRUIST BANK/IP TRUIST FINANCIAL CORP	2703
TRUIST BANK/IP TRUIST BANK	2705
TRUIST BANK/SUNTRUST SAFEKEEPING	2717
TRUIST BANK/IP BB&T COMMUNITY HOLDINGS	2871
TRUIST BANK/SUPPLY CHAIN FINANCE	4053

TRUIST BANK/BRANCH BANKING AND TRUST COMPANY	5385
TRUST COMPANY OF AMERICA	5981
TRUIST SECURITIES, INC.	2095
TULLETT PREBON FINANCIAL SERVICES LLC	0624
U.S. BANCORP INVESTMENTS, INC.	0280
U.S. BANK N.A.	2803
U.S. BANK N.A./CP	1510
U.S. BANK N.A./SAFEKEEPING WEST	2234
U.S. BANK N.A./ETF	2580
U.S. BANK, N.A./U.S. BANK MUNICIPAL SECURITIES GROUP	2781
U.S. BANK N.A./THIRD PARTY LENDING	2837
U.S. BANK N.A./TRUST NY MTN	2897
U.S. BANK N.A./QUASAR DISTRIBUTORS, LLC DEALER CLEARING SERVICES	9487
UBS AG, STAMFORD BRANCH	0979
UBS AG, STAMFORD BRANCH/IPA ACCOUNT	1540
UBS AG, STAMFORD BRANCH/AC PB CLIENTS-NO UBS LIEN	2003
UBS AG STAMFORD BRANCH/AS CUSTODIAN FOR UBS AG LONDON BRANCH	2507
UBS FINANCIAL SERVICES INC.	0221
UBS FINANCIAL SERVICES INC./GOVERNMENT SECURITIES ACCOUNT #2	5170
UBS SECURITIES LLC	0642
UBS SECURITIES LLC/CMO	0652
UBS SECURITIES LLC/SECURITIES LENDING	5284
UMB BANK, NATIONAL ASSOCIATION	2450
UMB BANK, INVESTMENT DIVISION	2451
UNION BANK & TRUST COMPANY	2067
VANGUARD MARKETING CORPORATION	0062
VELOCITY CAPITAL LLC	6019
VELOCITY CAPITAL LLC/PROPRIETARY ACCOUNT	4424
VELOCITY CLEARING, LLC	0294
VELOCITY CLEARING, LLC/STOCK LOAN	7359
VELOX CLEARING LLC	3856
VELOX CLEARING LLC/SECURITIES LENDING	1605
VIRTU AMERICAS LLC	0295
VIRTU AMERICAS LLC/VIRTU FINANCIAL BD LLC	0063
VIRTU AMERICAS LLC/VIRTU ITG LLC	0099
VISION FINANCIAL MARKETS LLC	0595
VISION FINANCIAL MARKETS LLC/SECURITIES LENDING	8493
WACHTEL & CO., INC.	0709
WEDBUSH SECURITIES INC.	0103
WEDBUSH SECURITIES INC./STOCK LOAN	5166
WEDBUSH SECURITIES INC./P3	8199
WEDBUSH SECURITIES INC./P3 STOCK LOAN	8237

WELLS FARGO BANK, NATIONAL ASSOCIATION	2027
WELLS FARGO BANK, N.A. ISSUING/PAYING AGENT	1538
WELLS FARGO BANK, N.A./LENDING	2040
WELLS FARGO BANK, N.A./SIG	2072
WELLS FARGO CLEARING SERVICES LLC	0141
WELLS FARGO CLEARING SERVICES, LLC/SECURITIES LENDING MATCH BOOK	5237
WELLS FARGO CLEARING SERVICES LLC/SUB ACCOUNT WFA REPO	7360
WELLS FARGO SECURITIES, LLC	0250
WELLS FARGO SECURITIES, LLC/SECURITIES FINANCE	2480
WESBANCO BANK, INC.	2271
WILLIAM BLAIR & COMPANY, L.L.C.	0771
WILSON-DAVIS & CO., INC.	0283
WOLVERINE EXECUTION SERVICES, LLC	7350
ZIONS DIRECT, INC.	0065
ZIONS BANCORPORATION, NATIONAL ASSOCIATION	2104
ZIONS BANCORPORATION, NATIONAL ASSOCIATION/CT ISSUE & PAY A/C/IPA	1586
ZIONS BANCORPORATION, NATIONAL ASSOCIATION/WESTERN NATIONAL	2736
ZIONS BANCORPORATION, NATIONAL ASSOCIATION/PORTFOLIO	8233
ZIV INVESTMENT CO.	8082