

## MEMORANDUM

**To:** File No. SR-OCC-2015-02

**From:** Richard Gabbert, Counsel to Commissioner Hester M. Peirce

**Re:** Meeting with Representatives of the Options Clearing Corporation (“OCC”)

---

On September 27, 2018, Commissioner Hester M. Peirce and Richard Gabbert met with the following representatives of OCC:

- Craig Donohue, OCC’s Executive Chairman and CEO;
- John Davidson, OCC President and COO;
- Scot Warren, Chief Administrative Officer;
- Jim Burns, Counsel; and
- Julie Bauer, Senior Vice President / Government Relations.

Among the topics discussed was the OCC’s capital plan.