

## MEMORANDUM

**To:** File No. SR-OCC-2015-02

**From:** Elizabeth Blase, Counsel to Commissioner Elad L. Roisman

**Re:** Meeting with Representatives of the Options Clearing Corporation (“OCC”)

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On September 27, 2018, Commissioner Elad L. Roisman and Elizabeth Blase met with the following representatives from OCC:

- Craig Donohue, OCC’s Executive Chairman and CEO
- John Davidson, OCC President and COO
- Scot Warren, Chief Administrative Officer
- Jim Burns, Counsel
- Julie Bauer, Senior Vice President / Government Relations

Among the topics discussed was the OCC’s Capital Plan.