

Martha Redding Associate General Counsel Assistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1

May 31, 2017

VIA E-MAIL

Brent J. Fields Secretary Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549-1090

Re: <u>SR-NYSEArca-2016-103</u>

Dear Mr. Fields:

NYSE Arca, Inc. filed the attached partial Amendment No. 3 to the above-referenced filing on May 31, 2017.

Sincerely,

Encl. (Partial Amendment No. 3 to SR-NYSEArca-2016-103)

Required fields are shown with yellow backgrounds and asterisks.

OMB Number: 3235-0045 Estimated average burden hours per response......38

OMB APPROVAL

Page 1 of * 3		SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 Form 19b-4 Amend				File No.* SR - 2016 - * 103 dment No. (req. for Amendments *) 3		
Filing by NYSE MKT LLC								
Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934								
Initial *	Amendment *	Withdrawal	Section 19(b)(2) *	Section	n 19(b)(3)(A Rule) *	Section 19(b)(3)(B) *
Pilot	Extension of Time Period for Commission Action *	Date Expires *			19b-4(f)(19b-4(f)(19b-4(f)((2) 1 9b	0-4(f)(4) 0-4(f)(5) 0-4(f)(6)	
Notice	of proposed change pursuant	to the Payment, Clearing	the Payment, Clearing, and Settlement Act of 2010			Security-Based Swap Submission pursuant to the Securities Exchange Act of 1934		
Section 806(e)(1) *		Section 806(e)(2) *			Section 3C(b)(2			_
Exhibit 2 Sent As Paper Document Exhibit 3 Sent As Paper Document Exhibit 3 Sent As Paper Document								
Description Provide a brief description of the action (limit 250 characters, required when Initial is checked *).								
Contact Information Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action. First Name * Sherry Last Name * Sandler								
Title * Associate General Counsel								
E-mail Teleph		Fax						
Signature								
Pursuant to the requirements of the Securities Exchange Act of 1934,								
has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized. (Title *)								
Date 05/31/2017			Associate Gen	eral Counse	el			
Ву	Clare Saperstein							
(Name *) NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed.								

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 For complete Form 19b-4 instructions please refer to the EFFS website. The self-regulatory organization must provide all required information, presented in a Form 19b-4 Information * clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal Remove is consistent with the Act and applicable rules and regulations under the Act. The Notice section of this Form 19b-4 must comply with the guidelines for publication Exhibit 1 - Notice of Proposed Rule Change * in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Add Remove View Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3) The Notice section of this Form 19b-4 must comply with the guidelines for publication **Exhibit 1A- Notice of Proposed Rule** in the Federal Register as well as any requirements for electronic filing as published Change, Security-Based Swap Submission, by the Commission (if applicable). The Office of the Federal Register (OFR) offers or Advance Notice by Clearing Agencies * guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3) Exhibit 2 - Notices. Written Comments. Copies of notices, written comments, transcripts, other communications. If such Transcripts, Other Communications documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G. Remove View Add Exhibit Sent As Paper Document П Exhibit 3 - Form, Report, or Questionnaire Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is Add Remove View referred to by the proposed rule change. Exhibit Sent As Paper Document The full text shall be marked, in any convenient manner, to indicate additions to and **Exhibit 4 - Marked Copies** deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit Add Remove View the staff to identify immediately the changes made from the text of the rule with which it has been working. **Exhibit 5 - Proposed Rule Text** The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part Add Remove View of the proposed rule change. If the self-regulatory organization is amending only part of the text of a lengthy Partial Amendment proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if Add Remove View the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

NYSEMKT-2016-103, Partial Amendment No. 3

NYSE MKT LLC ("NYSE" or the "Exchange") hereby submits this Partial Amendment No. 3 to the above-referenced filing ("Filing") in connection with the proposed rule change (the "Proposal") to establish rules to: (1) allow the Exchange to trade pursuant to unlisted trading privileges ("UTP") for any NMS Stock¹ listed on another national securities exchange; (2) establish rules for the trading pursuant to UTP of exchange traded products ("ETPs"); and (3) adopt new equity trading rules relating to trading halts of securities traded pursuant to UTP on the Pillar platform. The Exchange proposes the following amendment to the filing:

- 1. Delete footnote 10 on page 6 of the Filing (footnote 10 on page 38 of the Exhibit 1).
- 2. Delete the last sentence of the first paragraph on page 6 of the Filing and its related footnote 11 (the last sentence of the first full paragraph on page 38 of the Exhibit 1 and its related footnote 11).

All other representations in the Filing remain as stated therein and no other changes are being made.

NMS Stock is defined in Rule 600 of Regulation NMS, 17 CFR 242.600(b)(47).