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September 2, 2016

## VIA E-MAIL

Brent J. Fields Secretary Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549-1090

Re: Securities Exchange Act Rel. 34-78218 (SR-NYSEArca-2016-82)

Dear Mr. Fields:

NYSE Arca, Inc. filed the attached Partial Amendment No. 3 to the above-referenced filing on September 2, 2016.

Sincerely,

Encl. (Partial Amendment No. 3 to SR-NYSEArca-2016-82)

## Required fields are shown with yellow backgrounds and asterisks.

OMB Number: 3235-0045 Estimated average burden hours per response......38

OMB APPROVAL

Page 1 of * 3		SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 Form 19b-4 Amer				File No.* SR - 2016 - * 82 andment No. (req. for Amendments *) 3			
Filing by NYSE Arca, Inc.									
Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934									
Initial *		Amendment *	Withdrawal	Section 19(	b)(2) *	Section	n 19(b)(3)(A) *	Section 1	9(b)(3)(B) *
Pilot		nsion of Time Period Commission Action *	Date Expires *			<ul><li>19b-4(f)</li><li>19b-4(f)</li><li>19b-4(f)</li></ul>	(2) <b>a</b> 19b-4(f)	(5)	
Notice	of prop	oosed change pursuan	to the Payment, Clear	ing, and Settle	ment Act o	of 2010	Security-Based Swap Submission pursuant to the Securities Exchange Act of 1934		
Section 806(e)(1) *			Section 806(e)(2) *				Section 3C(b)(2) *		
Exhibit 2 Sent As Paper Document  Exhibit 3 Sent As Paper Document  Exhibit 3 Sent As Paper Document									
Description  Provide a brief description of the action (limit 250 characters, required when Initial is checked *).									
Contact Information  Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.									
First Name * Michael				Last Name * Cavalier					
Title *		Counsel	-1						
E-mail Teleph		Michael.Cavalier@th (212) 656-2474	Fax (212) 656-810	1					
Signature									
Pursuant to the requirements of the Securities Exchange Act of 1934,									
has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized.  (Title *)									
Date 09/02/2016				Associate Ge	neral Cou	ınsel			
Ву	Marth	a Redding							
(Name *)  NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed.									

## SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 For complete Form 19b-4 instructions please refer to the EFFS website. The self-regulatory organization must provide all required information, presented in a Form 19b-4 Information \* clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal Remove is consistent with the Act and applicable rules and regulations under the Act. The Notice section of this Form 19b-4 must comply with the guidelines for publication Exhibit 1 - Notice of Proposed Rule Change \* in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Add Remove View Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3) The Notice section of this Form 19b-4 must comply with the guidelines for publication **Exhibit 1A- Notice of Proposed Rule** in the Federal Register as well as any requirements for electronic filing as published Change, Security-Based Swap Submission, by the Commission (if applicable). The Office of the Federal Register (OFR) offers or Advance Notice by Clearing Agencies \* guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3) Exhibit 2 - Notices. Written Comments. Copies of notices, written comments, transcripts, other communications. If such Transcripts, Other Communications documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G. Remove View Add Exhibit Sent As Paper Document П Exhibit 3 - Form, Report, or Questionnaire Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is Add Remove View referred to by the proposed rule change. Exhibit Sent As Paper Document The full text shall be marked, in any convenient manner, to indicate additions to and **Exhibit 4 - Marked Copies** deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit Add Remove View the staff to identify immediately the changes made from the text of the rule with which it has been working. **Exhibit 5 - Proposed Rule Text** The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part Add Remove View of the proposed rule change. If the self-regulatory organization is amending only part of the text of a lengthy Partial Amendment proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if Add Remove View the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

## SR-NYSEArca-2016-82; Amendment No. 3

NYSE Arca, Inc. ("Exchange") hereby amends SR-NYSEArca-2016-82, as amended by Amendments 1 and 2 (the "Filing") as follows:

1. The eighth sentence of the second partial paragraph on page 24 of the Filing and the first full sentence on page 61 of Exhibit 1 to the Filing are deleted and replaced with the following:

Not more than 10% of the net assets of the Fund in the aggregate invested in equity securities (other than mutual fund shares) shall consist of equity securities, including common stock into which convertible securities can be converted and Depositary Receipts, whose principal market is not a member of the ISG or is a market with which the Exchange does not have a comprehensive surveillance sharing agreement.

2. In the second sentence of the first full paragraph on page 7 of the Filing and in the second sentence in the first full paragraph on page 34 of Exhibit 1 to the Filing, the word "advisor" is replaced with "Adviser".

The Exchange believes that the proposed rule change, as modified by this amendment, is consistent with the requirement of Section 6(b)(5) of the Securities Exchange Act of 1934<sup>1</sup> ("Act") that an exchange have rules that are designed, among other things, to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, to remove impediments to, and perfect the mechanism of a free and open market and, in general to protect investors and the public interest. To conform representations in the Statutory Basis section of the Filing to changes included in Amendment 2 to the Filing, the Exchange, in Item 1 above, is replacing the term "non-exchange-traded investment company securities" with "mutual fund shares" because mutual fund shares are identified in the first paragraph of page 10 of the Filing and the third paragraph on page 38 of Exhibit 1 to the Filing as the only non-exchange-traded investment company securities the Fund may hold. The Exchange also is clarifying that Depositary Receipts (as defined in footnote 11 of the Filing and footnote 12 of Exhibit 1 to the Filing) are included as equity securities subject to the 10% limitation on equity securities whose principal market is not a member of the ISG or is a market with which the Exchange does not have a comprehensive surveillance sharing agreement. In addition, in Item 2 above, the Exchange is clarifying that the word "advisor" means "Adviser", as defined in the Filing.

All other representations in the Filing remain as stated therein and no other changes are being made.

<sup>&</sup>lt;sup>1</sup> 15 U.S.C. 78f(b)(5).