

## MEMORANDUM

**To:** File No. NYSE-2024-35; CboeBZX-2024-055

**From:** Richard Gabbert, Counsel to Commissioner Hester M. Peirce

**Re:** Meeting with Investment Company Institute (ICI)

---

On August 28, 2024, Commissioner Hester Peirce and her legal advisors, Richard Gabbert, William Middlebrooks, and Sumeera Younis, participated in a meeting with the representatives of ICI. The participants, including Eric Pan and Paul Cellupica, discussed proposed rule changes by two exchanges that would exempt closed-end funds from the exchanges' annual shareholder meeting requirement.