

MEMORANDUM

To: File Nos. SR-NYSE-2020-05; SR-NYSE-2020-11

From: Ben Bernstein, Counsel to Commissioner Roisman

Re: Meeting with Representatives of Intercontinental Exchange and NYSE

On August 10, 2020, Commissioner Elad Roisman and Ben Bernstein met with:

- Elizabeth King
- Lynn Martin
- Martha Redding
- Mark Wassersug
- Hope Jarkowski

Among the topics discussed were NYSE's proposed rule changes SR-NYSE-2020-05 and SR-NYSE-2020-11.