

MEMORANDUM

TO: File No. SR-NYSE-2019-67

FROM: Lauren Yates
Office of Market Supervision, Division of Trading and Markets

DATE: February 12, 2020

SUBJECT: Meeting with New York Stock Exchange LLC

On January 30, 2020, Brett Redfearn, Christian Sabella (by phone), David Shillman, Sharon Lawson, Joan Collopy, John Guidroz, David Michehl (by phone), Elizabeth Sandoe, Mark Wolfe, and Lauren Yates from the Division of Trading and Markets; and William Hinman, David Fredrickson, Lisa Kohl, and Heather Maples from the Division of Corporation Finance, met with the following individuals:

Anthony Albanese, New York Stock Exchange LLC
John Carey, New York Stock Exchange LLC
Elizabeth King, New York Stock Exchange LLC
Hope Jarkowski, New York Stock Exchange LLC
John Tuttle, New York Stock Exchange LLC

The discussion concerned New York Stock Exchange LLC's proposed rule change to amend Chapter One of the Listed Company Manual to modify the provisions relating to direct listings.