

MEMORANDUM

TO: File No. SR-NASDAQ-2022-077

FROM: Joanne Kim
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 27, 2023

SUBJECT: Meeting with Representatives from Investors Exchange LLC (“IEX”)

On February 27, 2023, representatives from the U.S. Securities and Exchange Commission (“SEC”) held a videoconference with representatives from IEX. The SEC representatives were Eric Juzenas, Theodore Venuti, Leah Mesfin, and Joanne Kim. The representatives from IEX were John Ramsay and Stan Feldman.

The discussion concerned, among other things, the proposal by The Nasdaq Stock Market LLC to establish new “Contra Midpoint Only” and “Contra Midpoint Only with Post-Only” order types [Release No. 34-96601].