## **MEMORANDUM**

TO: File No. SR-NASDAQ-2020-081

FROM: Adam Anicich

Special Advisor and Investor Engagement Manager

Office of the Investor Advocate

U.S. Securities and Exchange Commission

DATE: March 30, 2021

RE: Meeting with Disability Advocates

On March 30, 2021, Rick Fleming, Investor Advocate, Adam Moore, and Adam Anicich of the Office of the Investor Advocate participated in a meeting with:

- Regina Kline, Founder and CEO, SmartJob LLC

- Robert Ludke, Governance and Sustainability Consultant, <u>Ludke Consulting</u>

Among the topics discussed was the proposed rule change in File Number <u>SR-NASDAQ-2020-</u>081.