

MEMORANDUM

TO: File No. SR-NASDAQ-2020-081

FROM: Adam Anicich  
Special Advisor and Investor Engagement Manager  
Office of the Investor Advocate  
U.S. Securities and Exchange Commission

DATE: March 30, 2021

RE: Meeting with the Thirty Percent Coalition

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On March 30, 2021, Rick Fleming, Investor Advocate, and Adam Anicich of the Office of the Investor Advocate participated in a meeting with:

- Charlotte Laurent-Ottomane, Executive Director, [Thirty Percent Coalition](#)

Among the topics discussed was the proposed rule change in File Number [SR-NASDAQ-2020-081](#).