

To: File Nos.: SR-MSRB-2024-01; SR-FINRA-2024-004  
From: Office of Public Engagement  
Date: 6/14/24  
Re: Meeting with SIFMA Municipal Securities Committee

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On June 4, 2024, Chair Gensler and SEC staff met with the Securities Industry and Financial Markets Association (SIFMA) Municipal Securities Committee. The general topics discussed included a proposal filed by the Municipal Securities Rulemaking Board (MSRB) as proposed rule change SR-MSRB-2024-01 and a corresponding proposal filed by the Financial Industry Regulatory Authority (FINRA) as SR-FINRA-2024-004.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Dave Sanchez, Director of the Office of Municipal Securities

Representatives from the SIFMA Municipal Securities Committee included:

- Ken Bentsen, Chief Executive Officer, SIFMA
- Leslie Norwood, Managing Director & Associate General Counsel, Head of Municipal Securities, SIFMA
- Nicholas Key, Assistant Vice President, SIFMA
- Betsy Kiehn, Managing Director and Head of Municipal Capital Markets, Stifel
- William Daley, Managing Director, Public Sector & Infrastructure Banking, Goldman Sachs & Co. LLC
- Mark Durivage, Director, Municipal Trading, Charles Schwab
- Gary Hall, Partner and President, Head of Infrastructure & Public Finance, Siebert Williams Shank & Co.
- Christopher Lee, Managing Director, Head of Municipal Sales, Wells Fargo Securities, LLC
- Greg Baugher, Vice President, Goldman Sachs & Co. LLC
- William Bingham, Managing Director and Head of Municipal Sales, Academy Securities
- Elizabeth Hanify, SVP and Head of Municipal and Equity Origination, Fidelity Capital Markets
- John DiFazio, Executive Director, Head of Municipal Capital Markets, Morgan Stanley & Co. LLC
- David Medanich, Co-Head Public Finance, Hilltop Securities
- Vivian Altman, Managing Director, Head of Public Finance, Janney Montgomery Scott
- Elizabeth Coolidge, Managing Director and Head of Public Finance, Oppenheimer & Co. Inc.
- Isaac Sine, Managing Director, J.P. Morgan Securities LLC
- Annie Marinaro, Executive Director, J.P. Morgan Securities LLC
- Chip Peebles, Director, Municipal Trading, Raymond James