

MEMORANDUM

TO: File No. SR-FINRA-2024-007

FROM: Division of Trading and Markets

RE: Meeting with the International Securities Lending Association and member firms

DATE: July 2, 2024

On July 2, 2024, staff representatives from the Division of Trading and Markets met with representatives from the International Securities Lending Association (“ISLA”) and some of ISLA’s member firms, which included Invesco, J.P. Morgan, and Brown Brothers Harriman. During the meeting, the participants discussed issues related to ISLA’s comment letter on FINRA’s proposed rule change to adopt the FINRA Rule 6500 Series (Securities Lending and Transparency Engine (SLATE™)).

Commission staff who attended the meeting included Carol McGee, Josephine Tao, Elizabeth Sandoe, Laura Weber, Joan Collopy, Susie Cho, Sakisha Jackson, and Beth Van Derslice.

The attendee from ISLA was Fran Garritt. The attendee from Invesco was Mark Whipple. The attendee from J.P. Morgan was Rebecca Goldenberg. The attendees from Brown Brothers Harriman were Ranada Ferguson and Finn McSweeney.