

MEMORANDUM

TO: File Nos: SR-FINRA-2024-004 and S7-32-22
FROM: Division of Trading and Markets
RE: Meeting with Bond Dealers of America
DATE: March 21, 2024

On March 21, 2024, staff from the Division of Trading and Markets (TM) met with Bond Dealers of America (BDA). The general topics discussed were the Financial Industry Regulatory Authority's (FINRA) proposed rule change to amend FINRA Rule 6730 (Transaction Reporting) to reduce the 15-minute TRACE reporting timeframe to one minute (SR-FINRA-2024-004) and the Commission's proposed rule on Regulation Best Execution (S7-32-22).

Attendees from TM included Haoxiang Zhu, David Shillman, Justin Pica, Tom Eady, and Will Magliocco.

Attendees from BDA included Michael Decker (BDA), Brett Bolton (BDA), Don Winton (Crews and Associates), David Hodapp (RBC Capital Markets), Gregg Bienstock (SOLVE), Blake Morris (Hilltop Securities), and Christopher Long (Bancorp).