

## MEMORANDUM

TO: File No. SR-FINRA-2023-007

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with North American Securities Administrators Association

DATE: October 25, 2023

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On October 25, 2023, Commissioner Jaime Lizárraga, Parisa Haghshenas, Laura D’Allaird, Diem-Mi Lu, and Brandon Hill, met with the following representatives of NASAA:

- Claire McHenry of Nebraska, NASAA President
- Leslie Van Buskirk of Wisconsin, NASAA President-Elect
- Melanie Senter Lubin, NASAA’s Representative to FSOC and former NASAA President (only the 10/25 meetings)
- Joey Brady, NASAA Executive Director
- Kristen Hutchens, NASAA Director of Policy and Government Affairs
- Vince Martinez, NASAA General Counsel.

Among the topics discussed was FINRA’s proposed rule change to establish a Remote Inspection Pilot Program.