

MEMORANDUM

TO: File No. SR-FINRA-2022-032

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representative of Imperative Execution

On January 18, 2024, Commissioner Caroline Crenshaw and Susan Poklemba spoke with Ari Burstein, General Counsel and Chief Policy Officer of Imperative Execution.

Among the topics discussed was the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant (Release No. 34-98212, SR-FINRA-2022-032).