

## MEMORANDUM

TO: File No. SR-FINRA-2022-032

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Panorama Financial Markets Advisory

DATE: December 20, 2023

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On December 20, 2023, Counsels to Commissioner Jaime Lizárraga, Parisa Haghshenas, Brandon Hill, and Diem-Mi Lu met via Webex with Brett Redfearn of Panorama Financial Markets Advisory.

Among the topics discussed was the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant.