

MEMORANDUM

TO: File No. SR-FINRA-2022-032

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of IEX

On August 10, 2023, Brandon Hill (Counsel to Commissioner Jaime E. Lizárraga) and Susan Poklemba (Counsel to Commissioner Caroline Crenshaw) spoke with representatives of IEX, including:

- Ronan Ryan, President;
- John Ramsay, Chief Market Policy Officer of IEX;
- Stan Feldman, Chief Operating Officer.

Among other matters, the participants discussed the Proposed Rule Change Relating to Alternative Display Facility New Entrant (FINRA-2022-032).