

MEMORANDUM

TO: File No. SR-FINRA-2022-032

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of IEX

On August 29, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of IEX, including:

- Brad Katsuyama, CEO
- John Ramsay, Chief Market Policy Officer
- Stan Feldman, COO

Among other matters, the participants discussed the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant (Release No. 34-98212).