

**MEMORANDUM**

September 13, 2023

**TO:** File No. SR-FINRA-2022-032

**FROM:** Robert Fisher  
Office of Commissioner Mark T. Uyeda

**RE:** Meeting with Representatives of IEX

On September 13, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with IEX. Commissioner Uyeda and the attendees met virtually. The IEX representatives in attendance consisted of:

- Brad Katsuyama, CEO
- John Ramsey, Chief Market Policy Officer
- Stan Feldman, COO

Among other matters, the participants discussed IEX's comment letter filed in connection with the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant.