

MEMORANDUM

September 8, 2023

TO: File No. SR-FINRA-2022-032

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with a Representative of IEX

On September 5, 2023, Commissioner Mark T. Uyeda's counsel, Robert Fisher, had a meeting with IEX. The attendees met virtually. The IEX representative in attendance consisted of:

- John Ramsey, Chief Market Policy Officer

Among other matters, the participants discussed IEX's comment letters filed in connection with the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant.