

MEMORANDUM

September 8, 2023

TO: File No. SR-FINRA-2022-032

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with a Representative of Intelligent Cross LLC (“IntelligentCross”)

On September 7, 2023, Commissioner Mark T. Uyeda’s counsel, Robert Fisher, had a meeting with IntelligentCross. Also joining the meeting were Commissioner Hester M. Peirce and her counsel Richard Gabbert. The attendees met virtually. The IntelligentCross representative in attendance consisted of:

- Ari Burstein, General Counsel and Chief Policy Officer

Among other matters, the participants discussed IntelligentCross’ comment letters filed in connection with the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant.