

MEMORANDUM

August 29, 2023

TO: File No. SR-FINRA-2022-032

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with a Representative of Citadel Securities, LLC (“Citadel”)

On August 25, 2023, Commissioner Mark T. Uyeda’s counsel, Robert Fisher, had a meeting with Citadel. The attendees met telephonically. The Citadel representative in attendance consisted of:

- Jonah Platt, U.S. Head of Government and Regulatory Policy

Among other matters, the participants discussed Citadel’s comment letter filed in connection with the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant.