

MEMORANDUM

TO: File No. SR-FINRA-2022-032

FROM: Eugene Hsia
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 17, 2023

SUBJECT: Meeting with Representatives from Securities Industry and Financial Markets Association (“SIFMA”)

On February 17, 2023, representatives from the U.S. Securities and Exchange Commission (“SEC”) held a videoconference with representatives from SIFMA. The SEC representatives were Haoxiang Zhu, David Saltiel, Jennifer Colihan, Yue Ding, Marilyn Parker, Mark Donohue, Alex Jadin, Roni Bergoffen, Sai Rao, Heather Percival, David Shillman, Theodore Venuti, Tyler Raimo, Megan Mitchell, and Eugene Hsia. The individual representatives from SIFMA were the following:

- Ellen Greene, SIFMA
- Joseph Corcoran, SIFMA
- Gregg Berman, Citadel
- Mark Campbell, Fidelity
- Anna Kurzok, Jefferies
- Tom Merritt, Virtu
- Sapna Patel, Morgan Stanley
- George Wolf, USB

The discussion concerned, among other things, the proposal by the Financial Industry Regulatory Authority, Inc. to add IntelligentCross ATS to the Alternative Display Facility [Release No. 34-96550].