

MEMORANDUM

TO: File No. SR-FINRA-2022-032

FROM: Eugene Hsia
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 9, 2023

SUBJECT: Conference Call with Representatives from Citadel Securities (“Citadel”)

On February 9, 2023, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from Citadel. The SEC representatives were Haoxiang Zhu, David Saltiel, Andrea Orr, Jennifer Colihan, Yue Ding, Marilyn Parker, Roni Bergoffen, Sai Rao, Heather Percival, David Shillman, Theodore Venuti, Tyler Raimo, Sarah Albertson, Megan Mitchell, and Eugene Hsia. The Citadel representatives were Gregg Berman, Jonah Platt, Aaron Friedman, and Stephen Berger.

The discussion concerned, among other things, the proposal by the Financial Industry Regulatory Authority, Inc. to add IntelligentCross ATS to the Alternative Display Facility [Release No. 34-96550].