

September 6, 2022

Ms. Vanessa Countryman
Secretary
U.S. Securities and Exchange Commission
100 F Street NE
Washington, DC 20549-1090

Re: File No. SR 2022-021

Dear Ms. Countryman:

On behalf of LPL Financial ("LPL"), I am writing to express our support for the Financial Industry Regulatory Authority ("FINRA") proposed rule change to adopt Supplementary Material .18 (Remote Inspections Pilot Program) under FINRA Rule 3110 (Supervision).

LPL is a leading retail investment advisory firm, independent broker-dealer and registered investment advisor (RIA) custodian. We serve as a trusted partner to more than 20,000 independent financial advisors, professionals and over 1,000 institution based programs by providing them with the technology, research, clearing and compliance services and practice management programs they need to create and grow thriving practices. LPL enables affiliated financial professionals to provide objective financial guidance to millions of American families seeking wealth management, retirement planning, financial planning and asset management solutions. Our affiliated financial professionals operate in all 50 states.

At the beginning of the coronavirus pandemic, when it became clear that travel restrictions would prevent branch examiners from travelling, LPL pivoted to a remote branch examination program. The firm found that remote branch exams, when conducted using a risk-based approach, could leverage technology advances for a more efficient process while still maintaining consumer protections. Over the past two years, we have conducted thousands of branch exams remotely. We appreciate FINRA and the SEC's recognition that remote branch exams were a positive development during the pandemic and therefore should be continued.

LPL is a member of the Securities Industry and Financial Markets Association (SIFMA) and we align closely with their submitted comments. We urge the SEC to approve the adoption of this program and look forward to continuing our dialogue on this issue.

Thank you for your consideration of this letter. Please contact Tara Gilchrist at  with any questions.

Sincerely,



Mark Seffinger
Chief Compliance Officer
LPL Financial