

Subject: File No. SR-FINRA-2020-030
From: Prof. LISA MILLER, ESQ. public arbitrator
Affiliation: Lex Law Corp. CEO

January 7, 2021

Thank you for leading the way on this initiative.

I suggest that the training for the new specialty panel on expungements include some ethics analysis and application.

Ethics issues can be confusing at times specific, targeted reinforcement on the topic would be time well-spent.

Let me know if I can provide any support in this regard.

Sincerely,

Prof. Lisa Miller, Esq., Public Arbitrator

