

1.13.2016

Robert W. Errett

Deputy Secretary

Securities and Exchange Commission

100 F Street N.E.

Washington, DC 20549-1090

File Number: SR-FINRA-2015-054. [By electronic submission]

Dear Mr. Errett,

My firm is a FINRA member specializing in Reg D placement agent services to Institutional investors. I am also a member of the Third Party Marketer's Association (3PM). I have had an opportunity to review 3PM's comprehensive comments to the new rule set proposed by SR-FINRA-2015-054 for firms meeting the definition of a Capital Acquisition Broker (CAB). I urge the SEC and FINRA to carefully consider 3PM's thoughtful and informed commentary, which has earned my strong support.

Sincerely,



Dan Glusker