



THOMAS CAPITAL GROUP

Member FINRA & SIPC

January 13, 2016

Robert W. Errett
Deputy Secretary
Securities and Exchange Commission
100 F Street N.E.
Washington, DC 20549-1090

File Number: SR-FINRA-2015-054. [By electronic submission]

Dear Mr. Errett,

Our firm is a FINRA member specializing in introducing private equity funds to institutional investors. We are also a member of the Third Party Marketer's Association (3PM). We have had an opportunity to review 3PM's comprehensive comments regarding the rule set proposed by SR-FINRA-2015-054 for Capital Acquisition Broker (CAB). We urge the SEC and FINRA to carefully consider 3PM's thoughtful and informed commentary, which has earned our strong support.

Sincerely,

Thomas Capital Group, Inc.

Sajan K. Thomas
President

Stephen J. Myott
Chief Compliance Officer