

MEMORANDUM

To: File No. SR-FICC-2024-005

From: Office of Clearance and Settlement, Division of Trading and Markets

Re: Meeting with Representatives of the Managed Funds Association (“MFA”)

Date: June 28, 2024

On Thursday, June 5, 2024, staff of the Commission’s Division of Trading and Markets and Division of Economic and Risk Analysis participated in a conference call with MFA representatives and members. Participating on the call from the Commission staff were Haoxiang Zhu, John Prochilo, Jeff Mooney, Elizabeth Fitzgerald, Viktoria Baklanova, and Burt Porter. Participating on the call from MFA were Jennifer Han, Chief Counsel and Head of Global Regulatory Affairs, MFA, Matthew Daigler, Vice President and Senior Counsel, MFA, Matt Lillivis, Deputy General Counsel, Millennium Management LLC, Dov Eisner, Chief Financial Officer, Element Capital Management LLC, and Richard Walz, General Counsel, Element Capital Management LLC.

The general topic discussed included the proposal filed by the Fixed Income Clearing Corporation with the Commission as proposed rule change SR-FICC-2024-005 (Release No. 34-99817).