

MEMORANDUM

To: File Nos. SR-FICC-2024-802; SR-FICC-2024-007; SR-FICC-2024-005

From: Office of Clearance and Settlement, Division of Trading and Markets

Re: Meeting with Representatives of the Alternative Investment Management Association (“AIMA”)

Date: May 30, 2024

On Thursday, May 30, 2024, staff of the Commission’s Division of Trading and Markets participated in a conference call with AIMA representatives and members. Participating on the call from the Commission staff were Haoxiang Zhu, John Prochilo, Jeff Mooney, Elizabeth Fitzgerald, Viktoria Baklanova, and Robert Zak. Participating on the call from AIMA were Daniel Austin, Head of U.S. Markets and Policy, AIMA; Joe Engelhard, Head of Private Credit and Asset Management Policy, Americas, AIMA; Joseph Pesa, Associate General counsel, Balyasny; and Matthew Lillvis, Deputy General Counsel, Millennium Management LLC.

The general topics discussed included proposals filed by the Fixed Income Clearing Corporation with the Commission as proposed rule changes 2024-005 and SR-FICC-2024-007 (Release Nos. 34-99817, 34-99844), and as advance notice SR-FICC-2024-802 (Release No. 34-99845).