

To: File Nos.: SR-FICC-2024-005; SR-FICC-2024-006; SR-FICC-2024-007
From: Office of Public Engagement
Date: 5/30/24
Re: Meeting with FIA PTG

On May 17, 2024, Chair Gensler and SEC staff met with the Futures Industry Association (FIA) Principal Traders Group (PTG). The general topics discussed included proposals filed by the Fixed Income Clearing Corporation with the Commission as proposed rule changes SR-FICC-2024-005, SR-FICC-2024-006, and SR-FICC-2024-007 and as advance notice SR-FICC-2024-802. The discussion covered the issues articulated in [FIA PTG's April 17, 2024, comment letter](#).

Members of the SEC included:

- Chair Gary Gensler
- Corey Klemmer
- Jessica Wachter
- Haoxiang Zhu
- Elizabeth Fitzgerald
- Heather Percival

Representatives from FIA PTG included:

- Jonah Platt, U.S. Head of Government and Regulatory Policy, Citadel
- Graham Harper, Head of Public Policy and Market Structure, DRW
- Mark Wendland, Chief Operating Officer, DRW
- Scott Parsons, Founding Partner & Managing Partner, Delta Strategy Group
- Joanna Mallers, Executive Director, FIA PTG
- Jeff Ramsey, Chief Administrative Officer, Geneva
- Scott McClure, Business Operations Manager, Hudson River Trading
- Adam Nunes, Head of Business Development, Hudson River Trading
- Jim Draddy, Director of Regulatory Affairs, Jump Trading
- Graham McDannel, Market Structure and Corporate Strategy, Optiver
- Rich Mackey, Managing Director, Strategic Initiatives, Prime Trading
- Stephanie Davis, Business Operations, Radix Trading
- Kenneth Wilson, Chief Executive Officer, TRC Markets, Tower Research Capital
- Robert Laude, Chief Operating Officer & Partner, WH Trading
- Matt Haraburda, President, XR Trading