

MEMORANDUM

May 23, 2024

TO: File Nos.: SR-FICC-2024-005, SR-FICC-2024-006, and SR-FICC-2024-007

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of the Alternative Investment Management Association (AIMA)

On May 23, 2024, Commissioner Mark T. Uyeda's Counsel, Robert Fisher, and Intern, Alexa Marsh, had a meeting with AIMA. The attendees met virtually. The AIMA representatives in attendance consisted of:

- Daniel Austin, Head of U.S. Markets and Policy, AIMA
- Aaron Friedman, Deputy U.S. Head of Government & Regulatory Policy, Citadel,
- Joseph Pesa, Associate General Counsel, Balyasny

Among other matters, the participants discussed AIMA's comment letter filed in connection with: Notice of Filing of Proposed Rule Change, as Modified by Partial Amendment No. 1, to Modify the GSD Rules to Facilitate Access to Clearance and Settlement Services of All Eligible Secondary Market Transactions in U.S. Treasury Securities (SR-FICC-2024-005); Notice of Filing of Proposed Rule Change to Amend the Clearing Agency Risk Management Framework (SR-FICC-2024-006); Notice of Filing of Proposed Rule Change to Modify the GSD Rules (i) Regarding the Separate Calculation, Collection and Holding of Margin for Proprietary Transactions and That for Indirect Participant Transactions, and (ii) to Address the Conditions of Note H to Rule 15c-3-3a (SR-FICC-2024-007).