

## MEMORANDUM

**TO:** File No. SR-CboeEDGA-2019-012

**FROM:** Division of Trading and Markets

**DATE:** September 12, 2019

**RE:** Staff meeting with representatives of Driehaus Capital Management, Janus-Henderson Investors, Putnam Investments, RBC Capital Markets, Royal Bank of Canada, and T. Rowe Price.

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On Thursday, September 12, 2019, Commission staff from the Division of Trading and Markets (“TM”) met with Mehmet Kinak, Head of Global Equity Market Structure and Electronic Trading, T. Rowe Price; Dan Farrell, Head of Equity Trading, Putnam Investments; Dan Royal, Global Head of Equity Trading, Janus-Henderson Investors; Jason Vedder, Director of Global Trading and Operations, Driehaus Capital Management; Rich Steiner, Head of Client Advocacy and Market Innovation, RBC Capital Markets; Shawn Maher, Head of U.S. Regulatory and Government Affairs, Royal Bank of Canada; Danielle Barrett, Analyst, Royal Bank of Canada.

A portion of the discussion concerned CboeEDGA’s proposed rule change to introduce a delay mechanism on EDGA (File No. CboeEDGA-2019-012). TM Staff in attendance during this portion of the discussion included: Brett Redfearn, Christian Sabella, Dave Shillman, John Roeser, David Saltiel, Arun Manoharan, Richard Holley, Andrea Orr, Alex Jadin, Sarah Albertson, Marc McKayle (via telephone), and Susan Poklemba.