

MEMORANDUM

TO: File Nos. SR-NYSE-2024-35; SR-CboeBZX-2024-055

FROM: Stacia Sowerby
Office of Market Supervision, Division of Trading and Markets

DATE: September 6, 2024

SUBJECT: Meeting with Representatives of Saba Capital Management, L.P. (“Saba”)

On September 5, 2024, David Shillman, Sharon Lawson, Sharon Park, Sarah Schandler, and Stacia Sowerby from the Division of Trading and Markets, Kaitlin Bottock, David Joire, and Kieran Brown from the Division of Investment Management, and Janice Mitnick from the Office of the General Counsel, participated in a meeting with the following individuals:

Boaz Weinstein, Saba
Paul Kazarian, Saba
Michael D’Angelo, Saba
Robert J. Jackson, Jr., NYU School of Law

The discussion concerned proposed rule changes NYSE-2024-35 and CboeBZX-2024-055.