

**MEMORANDUM**

**TO:** File No. SR-CboeBZX-2023-028

**FROM:** Edward Cho, Office of Market Supervision, Division of Trading and Markets

**DATE:** November 20, 2023

**SUBJECT:** Meeting with 21Shares US LLC re: ARK 21Shares Bitcoin ETF

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On November 20, 2023, Dave Shillman, Eric Juzenas, Tom McGowan, Randall Roy, Ray Lombardo, Molly Kim, Edward Cho, and Stacia Sowerby from the Division of Trading and Markets participated in a meeting with the following individuals:

Alistair Byas Perry, 21Shares US LLC  
Andres Valencia, 21Shares US LLC  
Andrew Jacobson, 21Shares US LLC  
Ophelia Snyder, 21Shares US LLC  
Allison Fumai, Dechert  
Joe Riley, Dechert  
Kyle Murray, Cboe BZX Exchange, Inc.  
Sarah Tadtman, Cboe BZX Exchange, Inc.  
Robert Marrocco, Cboe BZX Exchange, Inc.

The discussion concerned Cboe BZX Exchange, Inc.'s proposed rule change to list and trade shares of the ARK 21Shares Bitcoin ETF under BZX Rule 14.11(e)(4).