

MEMORANDUM

TO: File No. SR-CboeBYX-2020-021

FROM: Chris Chow
Special Counsel
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: March 9, 2021

RE: Meeting with the Securities Industry and Financial Markets Association

On March 3, 2021, John Roeser, Cristie March, Dan Gray, Steve Kuan, Christopher Chow, and Dmitry Bulkin, all of the Division of Trading and Markets, participated in a meeting with Ellen Greene, Joseph Corcoran, and Wesley Althoff of the Securities Industry and Financial Markets Association (“SIFMA”), as well as the following representatives of SIFMA member organizations: Sapna Patel (Morgan Stanley), Mark Campbell (Fidelity), Gregg Berman (Citadel), Lauren Mullen (JPM Chase), Valerie Bogard (Rosenblatt), Debbie Toennies (JP Morgan), and Paul Jiganti (IMC).

The topic discussed was the proposed rule change File Number SR-CboeBYX-2020-021.