

ANDREW MADAR

SENIOR ASSOCIATE GENERAL COUNSEL 805 KING FARM BOULEVARD ROCKVILLE, MD 20850

March 7, 2017

Brent J. Fields Secretary U.S. Securities and Exchange Commission 100 F Street, NE Washington, DC 20549-1090

Re: Partial Amendment No. 1 (SR-BX-2017-007)

Dear Mr. Fields:

NASDAQ BX, Inc. filed the above-referenced filing on March 7, 2017.

Sincerely,

Inches Mada. Andrew Madar

Senior Associate General Counsel

Required fields are shown with yellow backgrounds and asterisks.

OMB APPROVAL

OMB Number: 3235-0045
Estimated average burden hours per response.......38

Page 1 of * 3		SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 Form 19b-4 Amendment				File No.* SR - 2017 - * 007 nt No. (req. for Amendments *) 1	
Filing by NASDAQ BX, Inc.							
Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934							
Initial *	Amendment *	Withdrawal	Section 19(b)(2) * ✓	Section	on 19(b)(3)(A) *	Section 19(b)(3)(B) *	
Pilot	Extension of Time Period for Commission Action *	Date Expires *		19b-4(f)19b-4(f)19b-4(f)	0(1)		
					Security-Based Swap to the Securities Exch	Submission pursuant	
Section 806(e)(1) *		Section 806(e)(2) *			Section 3C(b)(2) *		
Exhibit 2 Sent As Paper Document Exhibit 3 Sent As Paper Document Exhibit 3 Sent As Paper Document							
Description Provide a brief description of the action (limit 250 characters, required when Initial is checked *).							
Contact Information Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.							
First Na	ame * Andrew		Last Name * Madar				
Title * Senior Associate General Counsel							
E-mail * Telephone * Fax							
Signature							
Pursuant to the requirements of the Securities Exchange Act of 1934,							
has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized. (Title *)							
Date	03/07/2017	[i	Executive Vice Presid		neral Counsel		
Ву	Edward S. Knight						
this form.	(Name *) licking the button at right will digit. A digital signature is as legally bi, , and once signed, this form canno	nding as a physical					

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 For complete Form 19b-4 instructions please refer to the EFFS website. The self-regulatory organization must provide all required information, presented in a Form 19b-4 Information * clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal Remove is consistent with the Act and applicable rules and regulations under the Act. The Notice section of this Form 19b-4 must comply with the guidelines for publication Exhibit 1 - Notice of Proposed Rule Change * in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Add Remove View Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3) The Notice section of this Form 19b-4 must comply with the guidelines for publication **Exhibit 1A- Notice of Proposed Rule** in the Federal Register as well as any requirements for electronic filing as published Change, Security-Based Swap Submission, by the Commission (if applicable). The Office of the Federal Register (OFR) offers or Advance Notice by Clearing Agencies * guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3) Exhibit 2 - Notices, Written Comments, Copies of notices, written comments, transcripts, other communications. If such Transcripts, Other Communications documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G. Remove View Add Exhibit Sent As Paper Document П Exhibit 3 - Form, Report, or Questionnaire Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is Add Remove View referred to by the proposed rule change. Exhibit Sent As Paper Document The full text shall be marked, in any convenient manner, to indicate additions to and **Exhibit 4 - Marked Copies** deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit Add Remove View the staff to identify immediately the changes made from the text of the rule with which it has been working. **Exhibit 5 - Proposed Rule Text** The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part Add View Remove of the proposed rule change. If the self-regulatory organization is amending only part of the text of a lengthy **Partial Amendment** proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if Add Remove View the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

Partial Amendment No. 1 to SR-BX-2017-007

On February 2, 2017, NASDAQ BX, Inc. ("BX" or "Exchange") filed with the Securities and Exchange Commission ("SEC" or "Commission") a proposed rule change ("Proposal") to adopt Equity Rule 6800 Series and Options Rule Chapter IX, Section 8 and the rules contained therein to implement the compliance rule regarding the National Market System Plan Governing the Consolidated Audit Trail ("Plan"). The SEC published the Proposal in the <u>Federal Register</u> for notice and comment on February 9, 2017. The comment period expired on March 2, 2017.

The Exchange is filing this Partial Amendment No. 1 to update Section 2, "Procedures of the Self-Regulatory Organization," of the Proposal. On February 24, 2017, the Exchange obtained the necessary approval from its Board of Directors for this Proposal. Therefore, the Exchange has completed all action required to be taken in connection with this Proposal, as set forth in the general instructions in Form 19b-4, Paragraph "E." As such, proposed Section 2 would state, "The proposed rule change was approved by the Board of Directors of the Exchange on February 24, 2017. No other action is necessary for the filing of the rule change."

 <u>See</u> Securities Exchange Act Release No. 79318 (Nov. 15, 2016), 81 FR 84696 (Nov. 23, 2016) ("Approval Order").

See Securities Exchange Act Release No. 79960 (February 3, 2017), 82 FR 10159 (February 9, 2017) (SR-BX-2017-007).

³ Id.