

MEMORANDUM

TO: File No. SR-BatsBZX-2017-34

FROM: Sara Hawkins
Division of Trading and Markets

DATE: October 11, 2017

RE: Meeting with Representatives of Nasdaq

On October 11, 2017, Commission staff met with representatives of Nasdaq and discussed, among other things, the Bats BZX Exchange, Inc. proposed rule change to introduce Bats Market Close, a closing match process for non-BZX listed securities (SR-BatsBZX-2017-34).

The Commission staff representatives were Gary Goldsholle, John Roeser, David Saltiel, Arun Manoharan, Richard Holley, David Liu, Arisa Kettig, Yue Ding, Ben Bernstein, Michael Ogershok, and Sara Hawkins from the Division of Trading and Markets; and Jennifer Juergens, Hans Heidle, and Edwin Hu from the Division of Economic and Risk Analysis.

The Nasdaq representatives were Tal Cohen, Rob Cooney, Sean Bennett, and Jeff Davis.