

MEMORANDUM

To: File No. SR-BATSBZX-2017-34

From: Jeffrey Dinwoodie, Senior Counsel to SEC Chairman Jay Clayton

Re: Meeting with Representatives of GTS Securities LLC

On June 28, 2017, Lucas Moskowitz (Chief of Staff to Chairman Clayton), Sean Memon (Deputy Chief of Staff to Chairman Clayton), Jaime Klima (Chief Counsel to Chairman Clayton) and Jeffrey Dinwoodie (Senior Counsel to Chairman Clayton) met with Ari Rubenstein (Co-founder and CEO, GTS Securities LLC) and Cliff Roberti (Policy Advisor, DLA Piper). Among the topics discussed was File No. SR-BATSBZX-2017-34.