

MEMORANDUM

To: File

From: Ty Gellasch, Counsel to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: January 8, 2014

Re: Meeting with Andrew Green, Counsel for Senator Merkley

On January 8, 2014, Ty Gellasch met with Andrew Green wherein the two discussed the implementation of the so-called Volcker Rule.