

MEMORANDUM

TO: Restrictions on Proprietary Trading and Certain Interests in and Relationships with Hedge Funds and Private Equity Funds

FROM: Cristie L. March, Counsel
Office of the Chairman

DATE: March 1, 2012

SUBJECT: SIFMA

On March 1, 2012, Chairman Schapiro, Cristie March, and Robert Cook met with Ira Hammerman (Senior Managing Director and General Counsel, SIFMA), Kenneth Bentsen (Executive Vice President, Public Policy and Advocacy, SIFMA), Randolph Snook (Executive Vice President, SIFMA), and Robert Toomey (Managing Director, Assistant General Counsel, SIFMA) to discuss the Volcker Rule.