

**MEMORANDUM**

TO: File Nos. S7-34-10, S7-06-11, S7-25-11, S7-40-11, S7-08-12

FROM: Michael E. Coe  
Office of Commissioner Luis A. Aguilar

DATE: October 22, 2012

SUBJECT: Meeting with Representatives of SIFMA

On October 10, 2012, Commissioner Aguilar and his counsel, Michael E. Coe, met with the following representatives of SIFMA:

Greg Todd of Bank of America  
Keith Bailey of Barclays  
Omer Otzan of Citi  
Robert Lee of Deutsche Bank  
Jon Blizzard of HSBC  
Anthony Cicia of Morgan Stanley  
Annette Nazareth, Esq. of Davis Polk & Wardwell LLP  
Gabriel Rosenberg, Esq. of Davis Polk & Wardwell LLP  
Kyle Brandon of SIFMA  
Bradley Edgell of SIFMA.

The discussion included, among other things, the Commission's proposed rules regarding Regulation SBSR - Reporting and Dissemination of Security-Based Swap Information; the Commission's proposed rules regarding Registration and Regulation of Security-Based Swap Execution Facilities; the Commission's proposed rules regarding Business Conduct Standards for Security-Based Swap Dealers and Major Security-Based Swap Participants; the Commission's proposed rules regarding Registration of Security-Based Swap Dealers and Major Security-Based Swap Participants; and the Commission's proposed rules regarding Capital, Margin, and Segregation Requirements for Security-Based Swap Dealers and Major Security-Based Swap Participants and Capital Requirements for Broker-Dealers.